

Lecture 12

Retrospective & frontiers



Last Time

latent-space safety

(*guest lecture*) OOD in era of large models

This Time

final project + presentation logistics

retrospective & frontiers!

course eval survey

At a glance

Final presentations due 4/21

** All presentation slides must be uploaded*

Presentation talks 4/22 & 4/24

Final report due 5/1

Final Report (30% | May 1)

Conference-style paper

~6 pages

IEEE templates in LaTeX and Overleaf (click image on right to go to Overleaf template)

<https://www.overleaf.com/latex/templates/ieee-conference-template/grfzhnncsfqn>

Conference Paper Title*

*Note: Sub-titles are not captured in Xplore and should not be used

| | | |
|---|---|---|
| 1 st Given Name Surname <i>dept. name of organization (of Aff.)</i> <i>name of organization (of Aff.)</i> City, Country email address or ORCID | 2 nd Given Name Surname <i>dept. name of organization (of Aff.)</i> <i>name of organization (of Aff.)</i> City, Country email address or ORCID | 3 rd Given Name Surname <i>dept. name of organization (of Aff.)</i> <i>name of organization (of Aff.)</i> City, Country email address or ORCID |
| 4 th Given Name Surname <i>dept. name of organization (of Aff.)</i> <i>name of organization (of Aff.)</i> City, Country email address or ORCID | 5 th Given Name Surname <i>dept. name of organization (of Aff.)</i> <i>name of organization (of Aff.)</i> City, Country email address or ORCID | 6 th Given Name Surname <i>dept. name of organization (of Aff.)</i> <i>name of organization (of Aff.)</i> City, Country email address or ORCID |

Abstract—This document is a model and instructions for L^AT_EX. This and the IEEEtran.cls file define the components of your paper [title, text, heads, etc.]. *CRITICAL: Do Not Use Symbols, Special Characters, Footnotes, or Math in Paper Title or Abstract.

Index Terms—component, formatting, style, styling, insert

I. INTRODUCTION

This document is a model and instructions for L^AT_EX. Please observe the conference page limits.

II. EASE OF USE

A. Maintaining the Integrity of the Specifications

The IEEEtran class file is used to format your paper and style the text. All margins, column widths, line spaces, and text fonts are prescribed; please do not alter them. You may note peculiarities. For example, the head margin measures proportionately more than is customary. This measurement and others are deliberate, using specifications that anticipate your paper as one part of the entire proceedings, and not as an independent document. Please do not revise any of the current designations.

III. PREPARE YOUR PAPER BEFORE STYLING

Before you begin to format your paper, first write and save the content as a separate text file. Complete all content and organizational editing before formatting. Please note sections III-A–III-E below for more information on proofreading, spelling and grammar.

Keep your text and graphic files separate until after the text has been formatted and styled. Do not number text heads—L^AT_EX will do that for you.

Identify applicable funding agency here. If none, delete this.

A. Abbreviations and Acronyms

Define abbreviations and acronyms the first time they are used in the text, even after they have been defined in the abstract. Abbreviations such as IEEE, SI, MKS, CGS, ac, dc, and rms do not have to be defined. Do not use abbreviations in the title or heads unless they are unavoidable.

B. Units




- Use either SI (MKS) or CGS as primary units. (SI units are encouraged.) English units may be used as secondary units (in parentheses). An exception would be the use of English units as identifiers in trade, such as “3.5-inch disk drive”.
- Avoid combining SI and CGS units, such as current in amperes and magnetic field in oersteds. This often leads to confusion because equations do not balance dimensionally. If you must use mixed units, clearly state the units for each quantity that you use in an equation.
- Do not mix complete spellings and abbreviations of units: “Wb/m²” or “webers per square meter”, not “webers/m²”. Spell out units when they appear in text: “. . . a few henries”, not “. . . a few H”.
Use a zero before decimal points: “.025”, not “.25”. Use “cm³”, not “cc”.)

C. Equations

Number equations consecutively. To make your equations more compact, you may use the solidus (/), the exp function, or appropriate exponents. Italicize Roman symbols for quantities and variables, but not Greek symbols. Use a long dash rather than a hyphen for a minus sign. Punctuate equations with commas or periods when they are part of a sentence, as in:

$$a + b = \gamma \quad (1)$$

Be sure that the symbols in your equation have been defined before or immediately following the equation. Use “(1)”, not

| Final Project Rubric    | | | |
|---|---|-------------------------|--------|
| Criteria | Ratings | | Pts |
| | <p>Background and Motivation</p> <p>Does the report offer a clear introduction of the chosen problem or topic of study, and a compelling justification of its importance? Are the problem and the proposed work placed into the broader technical context and connected to prior research efforts?</p> | 25 pts Full Marks | |
| <p>Formulation and Analysis</p> <p>Is the problem or topic of study put into a well-defined technical representation? Is there a clear definition of the scope and goals of the project? Are the techniques used to shed light on it applied correctly and appropriately?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |
| <p>Results and Insights</p> <p>How compelling are the results of your work so far? Is there a valuable technical contribution, in terms of novel research or understanding of existing knowledge? Does the audience walk away from your talk with meaningful new insights?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |
| <p>Clarity and Rigor</p> <p>Are the ideas systematically explained so that they can be understood by a technically-equipped reader who is not already an expert in this work? Are technical arguments carefully and correctly laid out?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |

Final Presentation (10% | April 22 & April 24)

Conference-style talk

For groups of 1:

10 minute presentation
+ 5 minute Q&A / transition

For groups of N:

20 minute presentation
+ 5 minute Q&A / transition

Whole must be class present and in-person!



Day 1 (April 22)

| Presenter(s) | Presentation Time |
|---|--------------------------|
| Bowen Jiang, Yilin Wu, Weihao (Zack) Zeng | 20 min |
| Samuel Li | 10 min |
| Sidney Nimako – Boateng | 10 min |
| Xilun Zhang | 10 min |

Day 2 (April 24)

| Presenter(s) | Presentation Time |
|------------------------|--------------------------|
| Jehan Yang, Eliot Xing | 20 min |
| Yumeng Xiu | 10 min |
| Kavya Puthuveetil | 10 min |

| Paper Presentation Rubric ✎ 🔍 🗑 | | | |
|---|-------------------------|----------------------|-------------------|
| Criteria | Ratings | | Pts |
| <p>Clarity of Exposition</p> <p>How easy is it to understand the ideas you put forward and follow your technical arguments? Could someone give a good 1-minute summary of your work after listening to your talk?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |
| <p>Narrative Structure</p> <p>How effectively are you walking us through the problem you are investigating? Do you give a compelling motivation for your work? How do you guide us to arrive at the key insight(s)? Does the future work follow naturally and make sense?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |
| <p>Presentation Design</p> <p>Does the auxilliary content of your presentation (slides, plots, videos, notes, etc.) complement your verbal delivery? Is it possible to take in all visually displayed information while also following what you are saying, or do you have a "wall of text" (or even worse, a "wall of math"!) that forces the audience to choose between reading and listening?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |
| <p>Results and Insights</p> <p>How compelling are the results of your work so far? Is there a valuable technical contribution, in terms of novel research or understanding of existing knowledge? Does the audience walk away from your talk with meaningful new insights?</p> | 25 pts Full Marks | 0 pts No Marks | 25 pts |
| | | | Total Points: 100 |

On technical communication
(presentations, writing,...)

Motivate what you are
studying clearly

Distill what is the **key**
idea and **key takeaways**

On technical communication (presentations, writing,...)



Context & motivation

Problem statement / challenge

Why it is hard

Key idea (i.e., the fix!)

Formulation / instantiation / setup

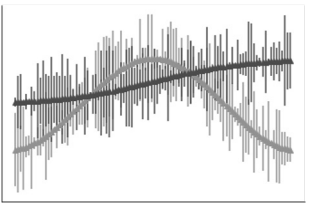
Results (empirical, theoretical)

*Summarize key idea,
takeaways, implications*


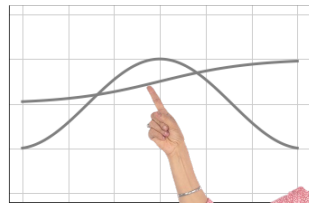
Heuristics for good presentations

Sparse; figures over text!


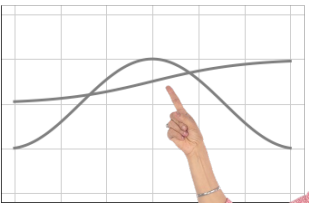

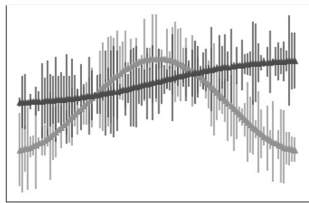

- Increased information
- Increased viewer effort



- Increased information
- Decreased viewer effort



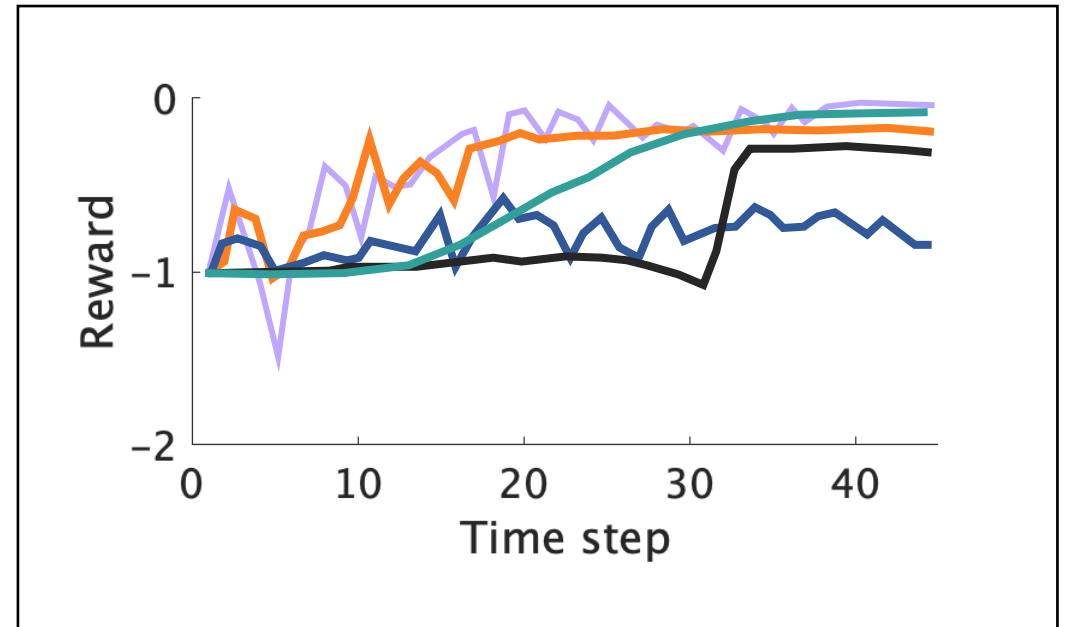
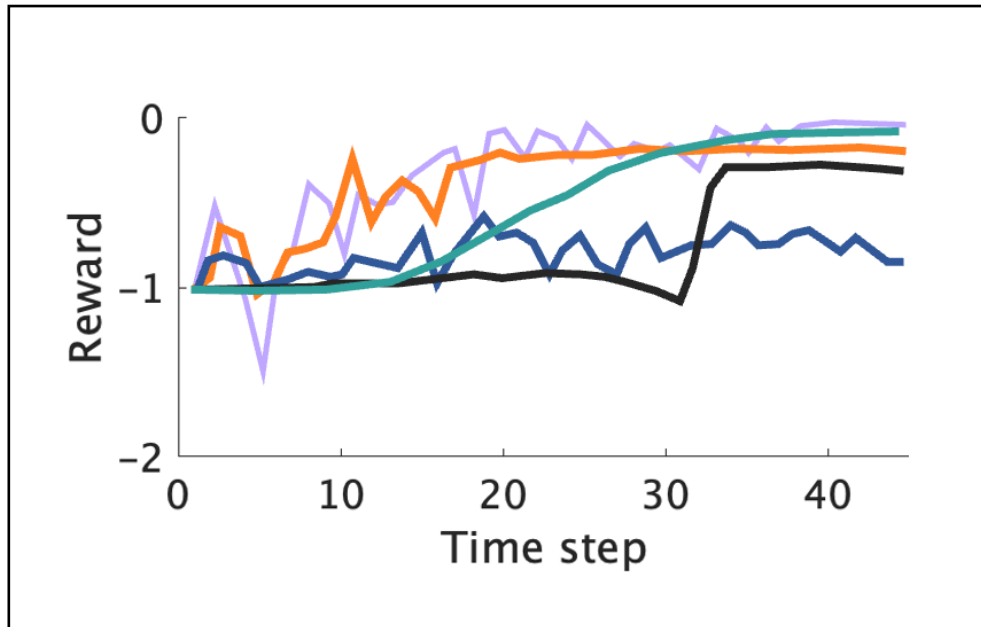
focus on slide



focus on speaker

Heuristics for good presentations

Be visual (e.g., make graphs and break them down)



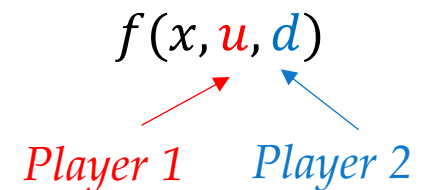
Heuristics for good presentations

If using equations, explain them and build them up

HJ Reachability

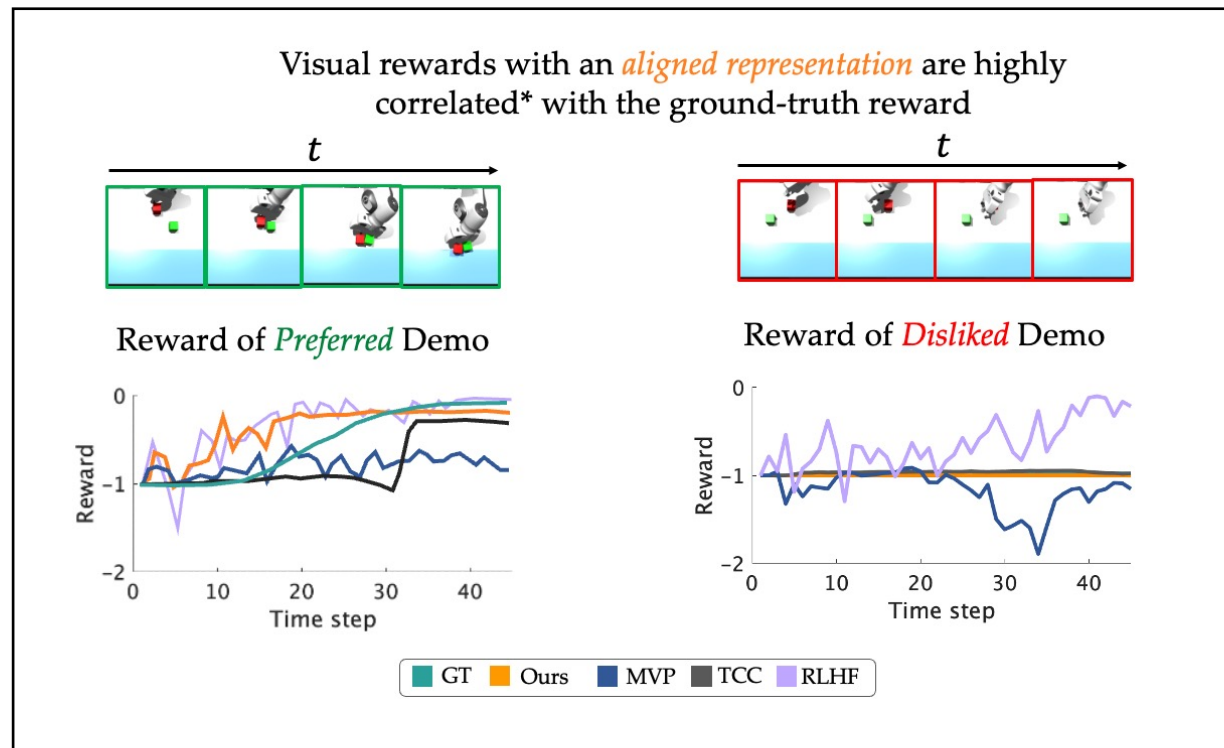
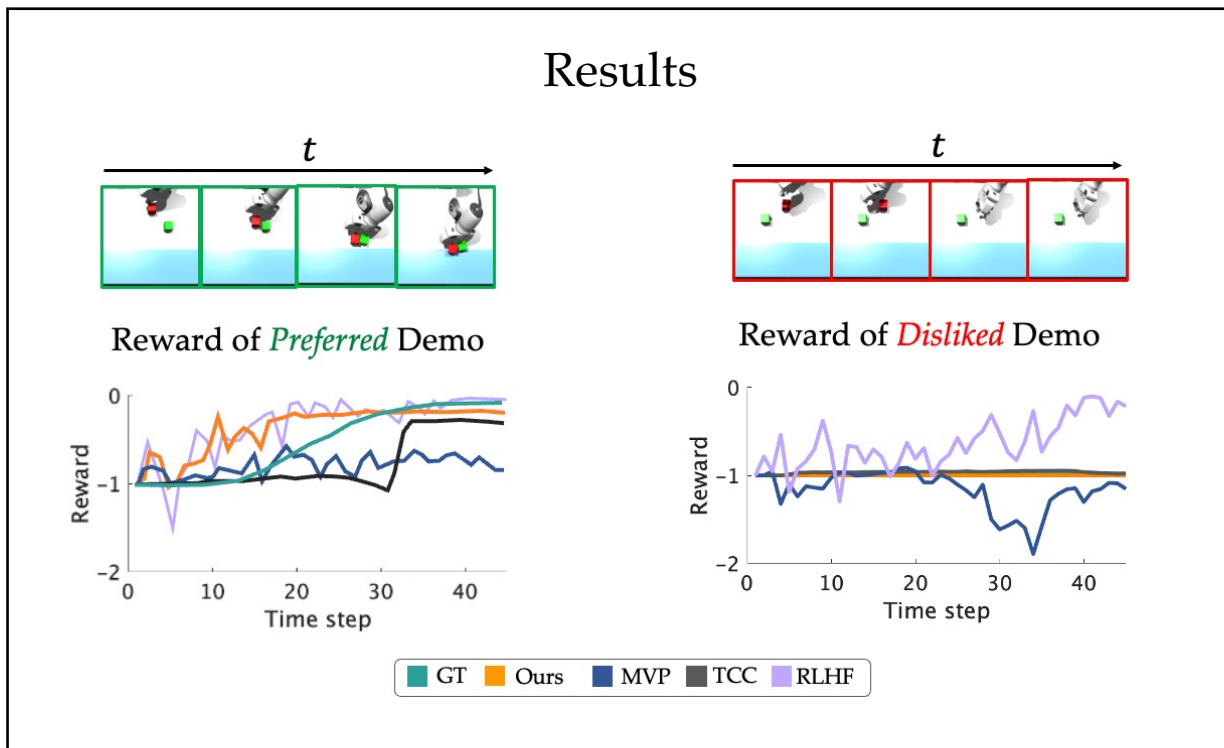
$$\max_u \min_d \nabla_x V(x, t)^\top f(x, u, d) + \frac{\partial V}{\partial t} = 0$$

HJ Reachability



Heuristics for presentations

Use useful titles



Academic-Specific Resource

ipb.uni-bonn.de/5min/

5 Minutes With Cyrill

The video player shows a man in a white shirt speaking. The video title is "Giving good lectures - 5 Minutes with Cyrill". The video player includes a "Watch later" button and a "Share" button. A "Watch on YouTube" button is visible at the bottom left of the video frame.

+ Giving good lectures - 5 Minutes with Cyrill

The thumbnail shows the same man in a white shirt, gesturing with his hands. The title is "Academic Job Interview Talks - 5 Minutes with Cyrill".

+ Academic Job Interview Talks - 5 Minutes with Cyrill

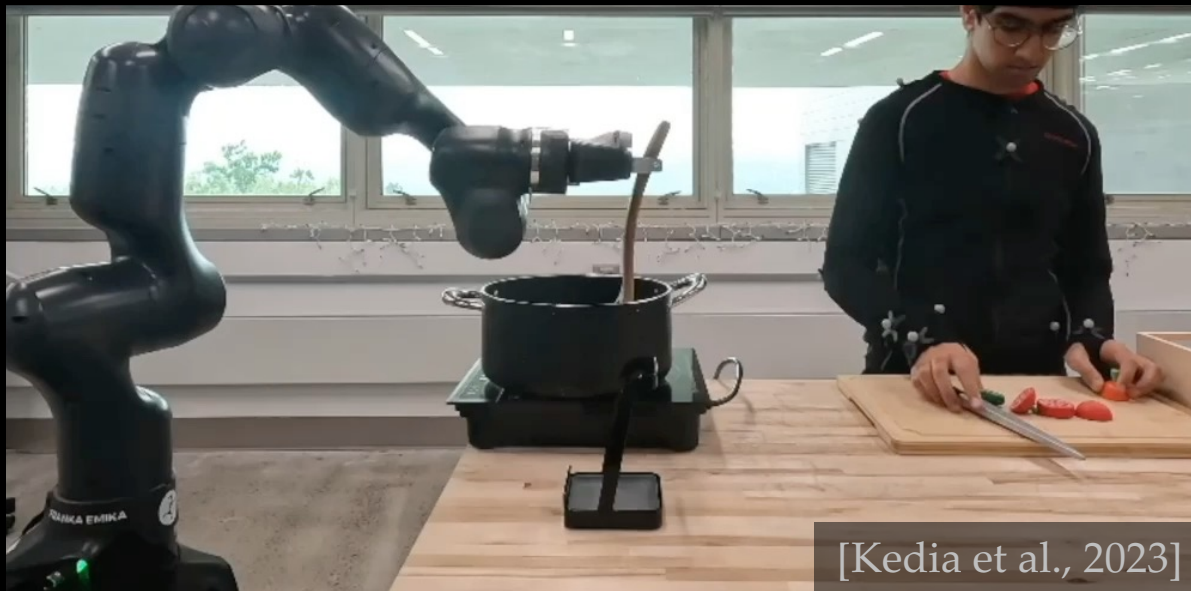
The thumbnail shows the same man in a white shirt, with his hands clasped. The title is "Defending Your Research Project Proposal - 5 Minutes with Cyrill".

+ Defending Your Research Project Proposal - 5 Minutes with Cyrill

Retrospective & Frontiers

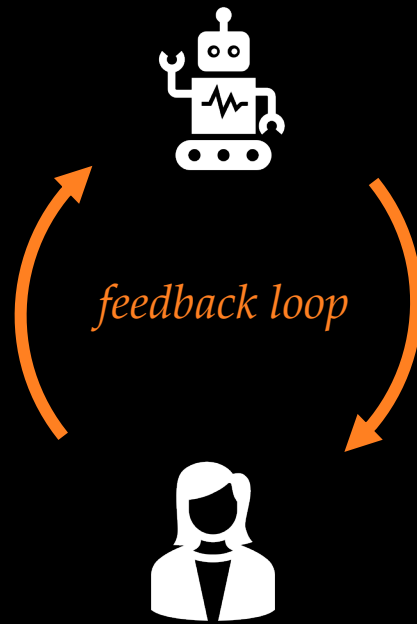


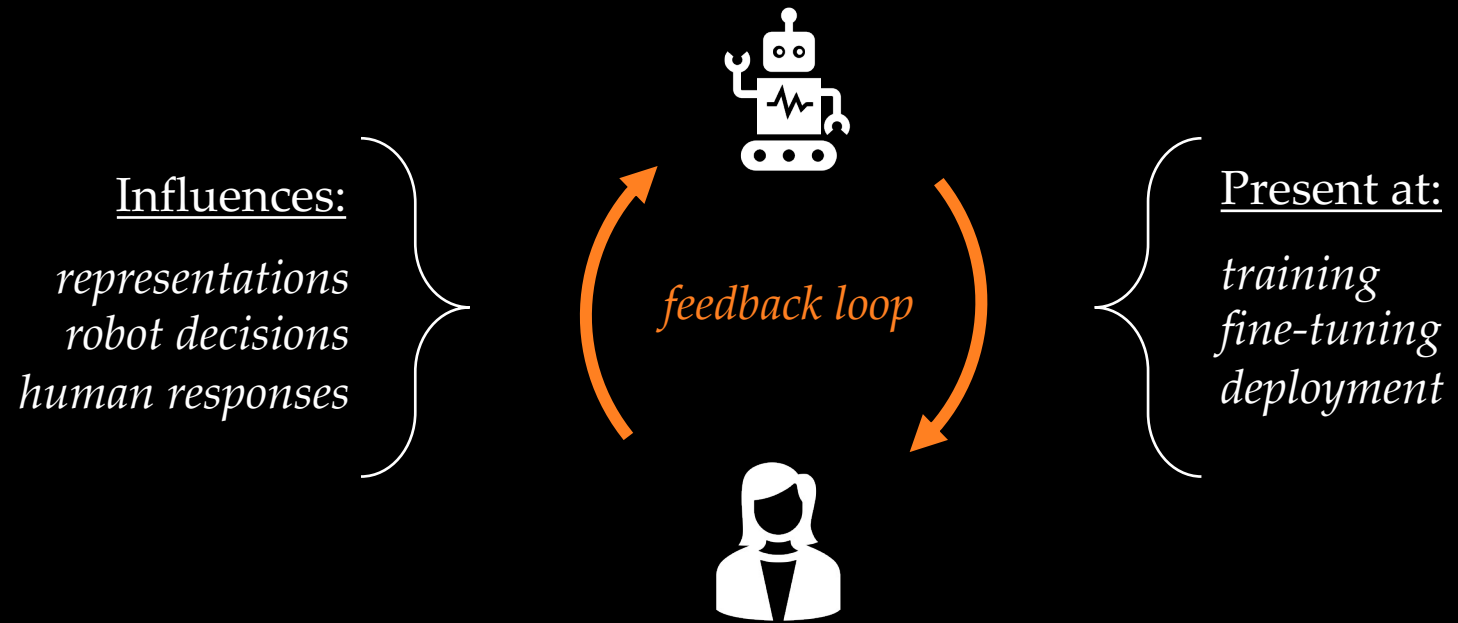
AI is enabling autonomous agents to **interact with people at scale**



This **widespread human—AI interaction** has also increased questions about **modeling interaction** and raised **safety & alignment** concerns

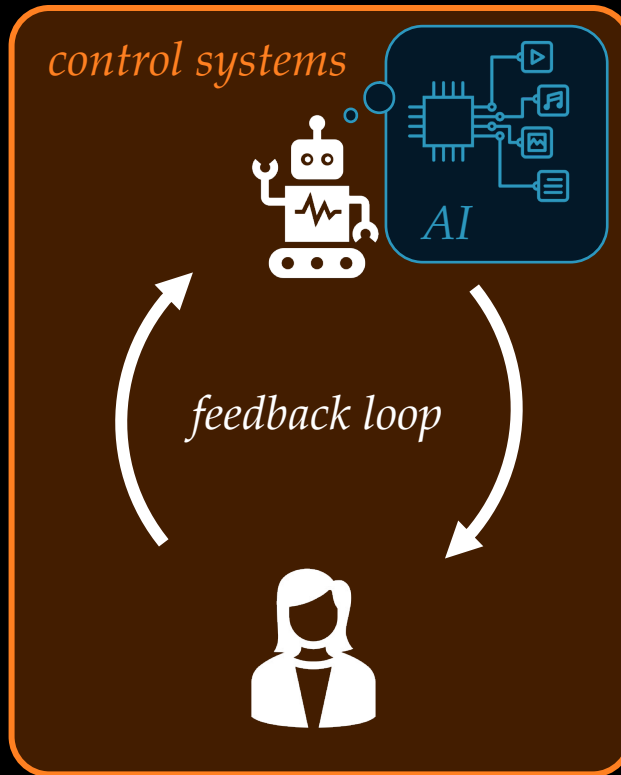
Interaction means there exists a **feedback loop** between human stakeholders and autonomous robots

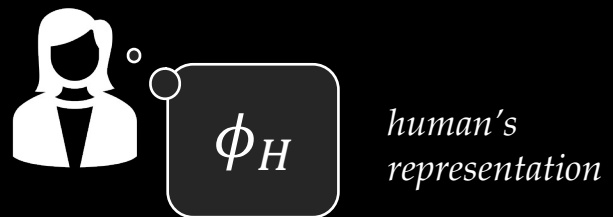
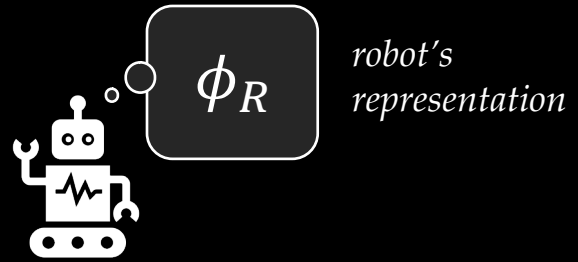




In this class:

formalisms inspired by **control & dynamical systems** to model **human—robot/AI feedback loops** influenced by **robot decisions**





[Autoevolution, "Tesla with FSD Beta Avoids Plastic Bag, Some People Mistake It for a Good Thing"]

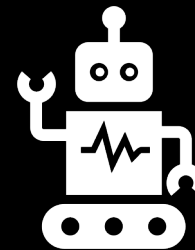


Robot's and end-user's *representations* are **mismatched!**

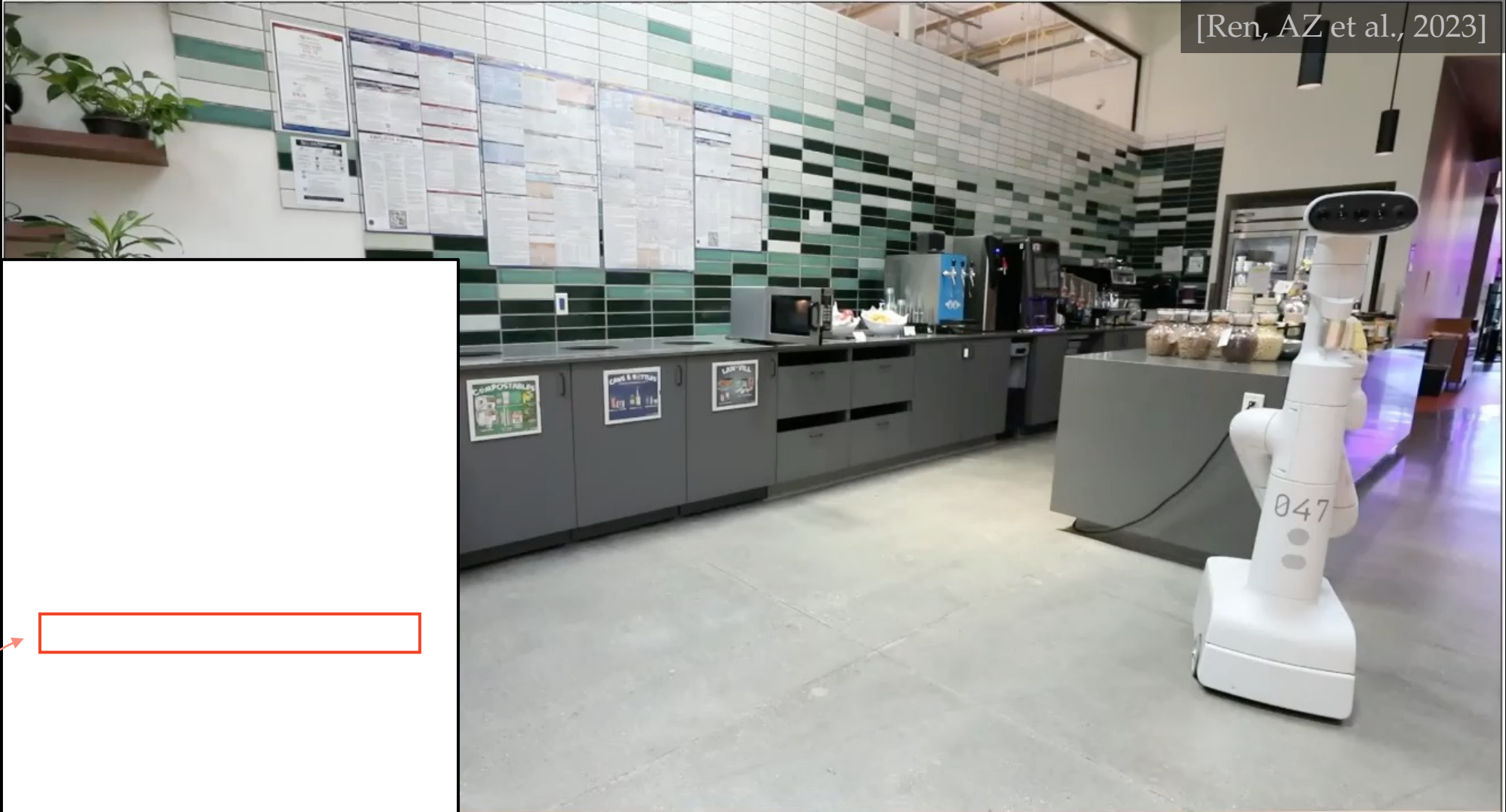


Crushable Bag

Obstacle



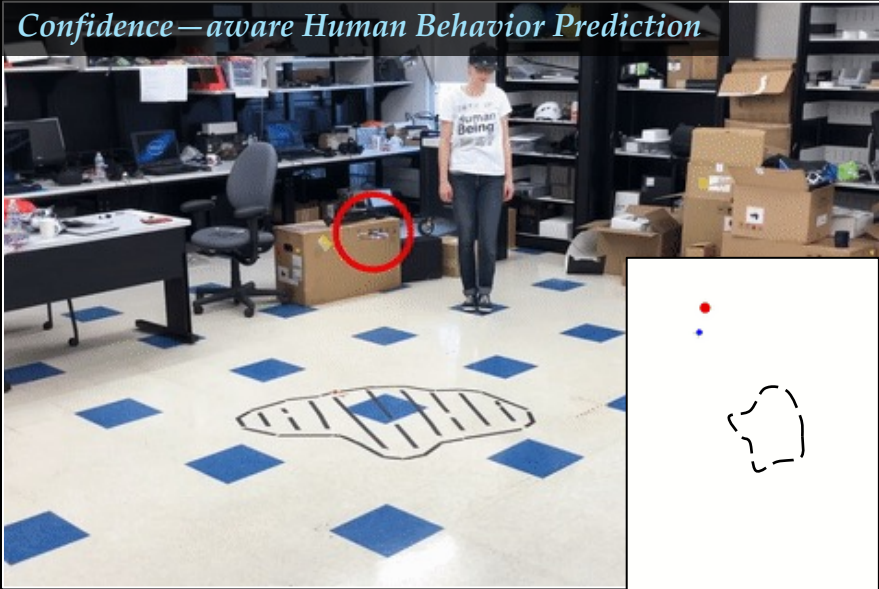
[Ren, AZ et al., 2023]



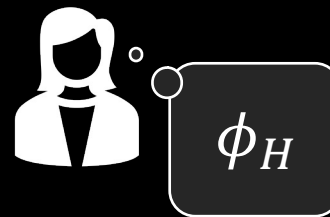
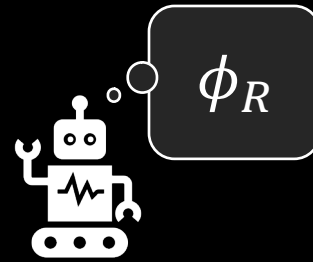
unsafe to put metal in microwave

Detecting Representation Misalignment

Confidence—aware Human Behavior Prediction



Bajcsy*, A. et al. "Confidence-aware motion prediction for real-time collision avoidance." IJRR 2020.



Confident Online Learning from Physical Corrections

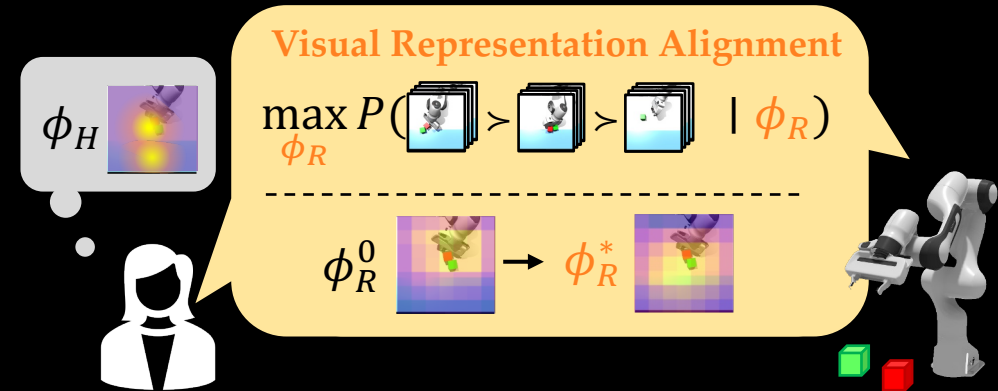


Bobu, A. et al. "Learning under misspecified objective spaces." CoRL 2018.

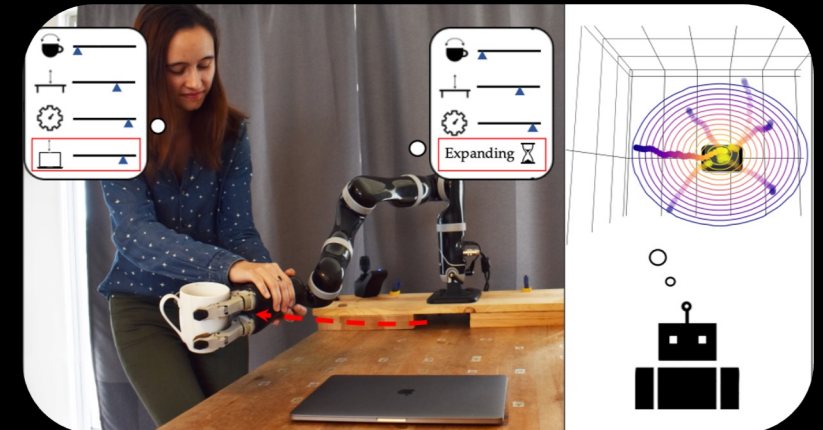
Aligning Robot Representations

Aligning Pre-trained Vision Models w/ Human Feedback

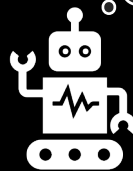
Tian, R. et al. "What Matters to You? Towards Visual Representation Alignment for Robot Learning." ICLR 2024.



New types of human feedback for representation alignment



Bobu, A. et al. "Feature Expansive Reward Learning: Rethinking Human Input." HRI 2020



$$\phi_R \mid \pi_R: \phi_R \rightarrow a_R$$

robot's policy

*physical action, generations
for human to rank, ...*



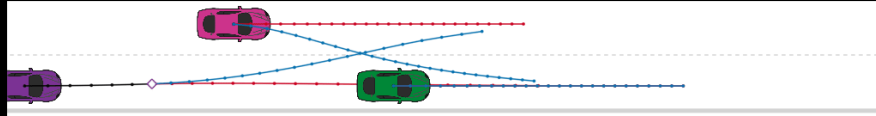
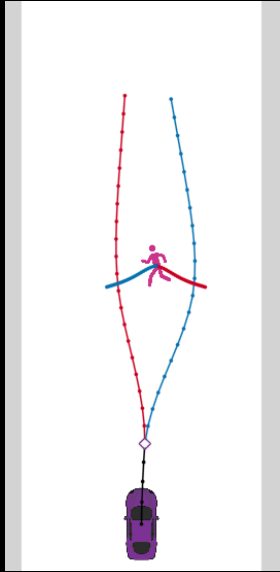
$$\phi_H \mid \pi_H: \phi_H \rightarrow a_H$$

human's policy

*physical action, preference
feedback, text prompt...*

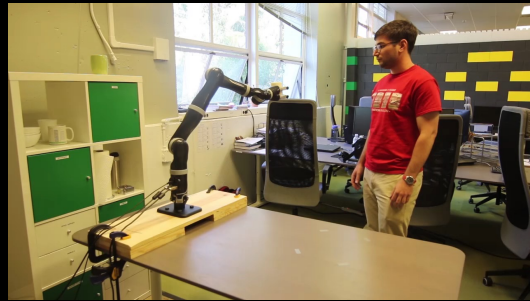
Game-Theoretic & Data-Driven Interaction Models

N-Player Dynamic Games



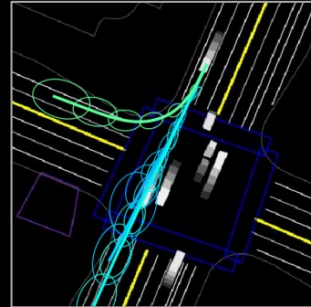
Peters, L. et al. "Contingency Games for Multi-Agent Interaction" RA-L, 2024.

Learning from Corrections

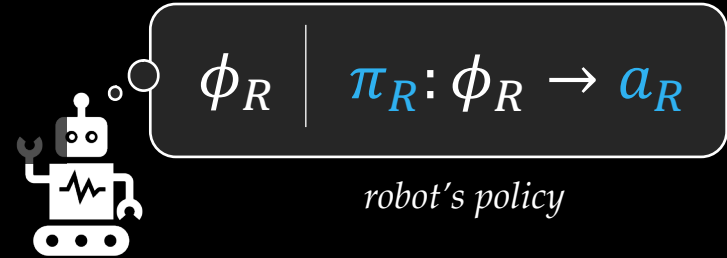


Bajcsy et al., "Learning from pHRI", CoRL 2017

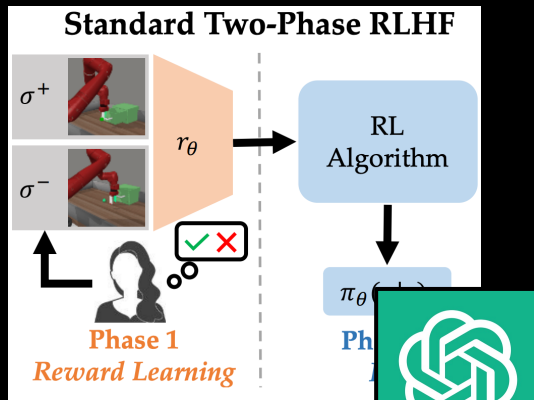
Deep Human Behavior Prediction



MultiPath, Waymo

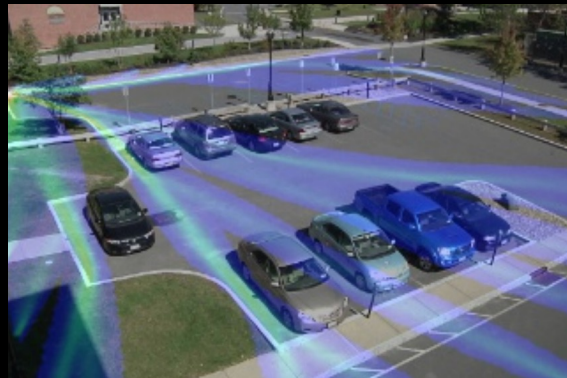


RLHF / DPO / CPL

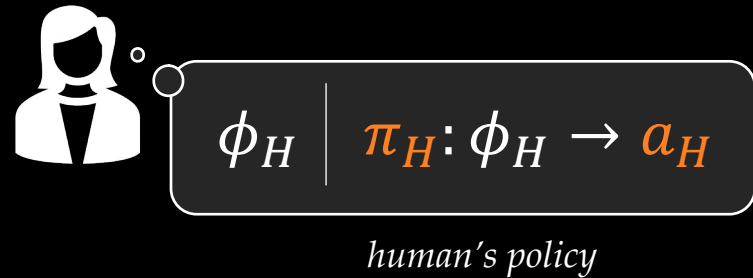


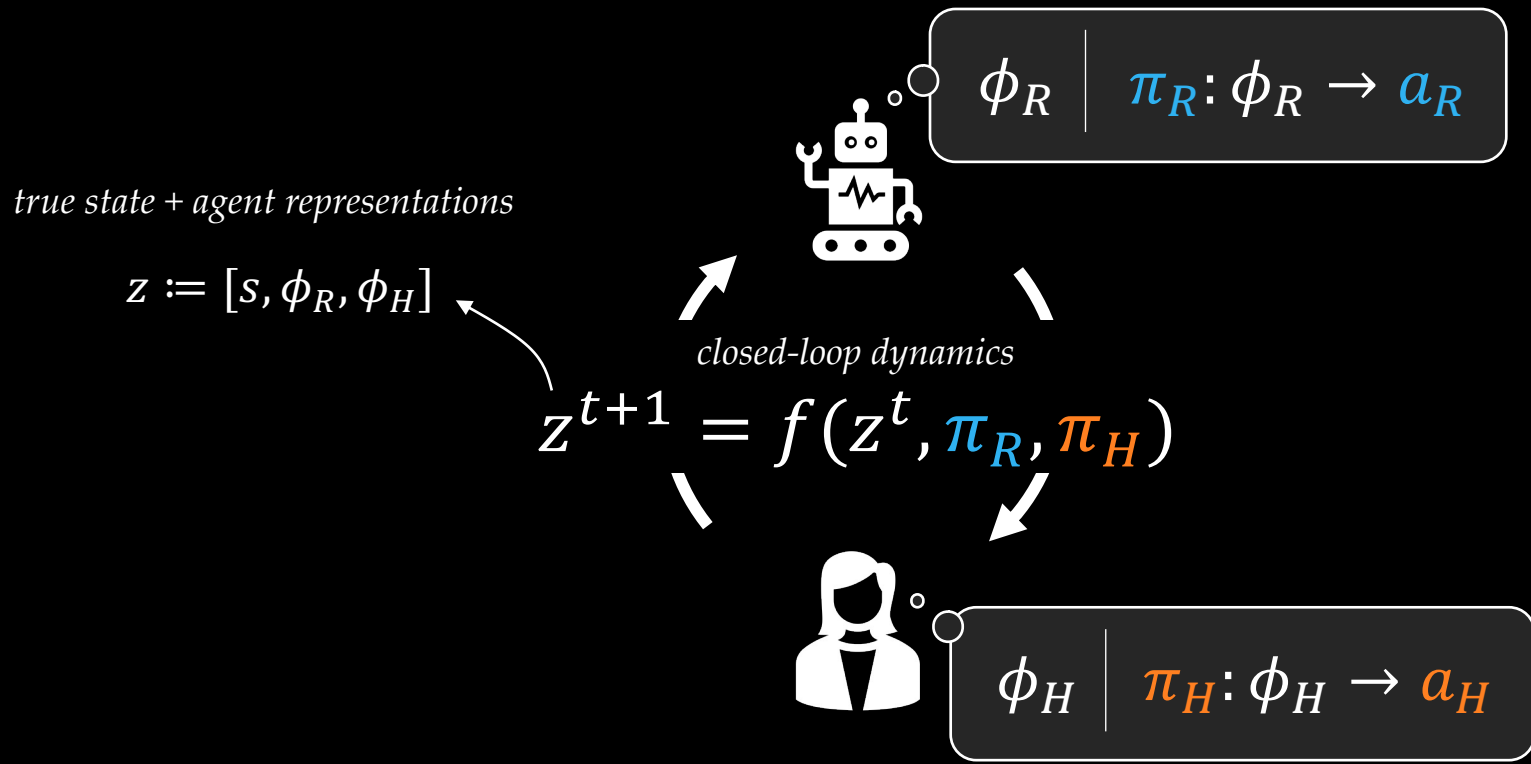
Hejna, J. et al. "Contrastive Preference Learning". ICLR 2024

Inverse Reinforcement Learning

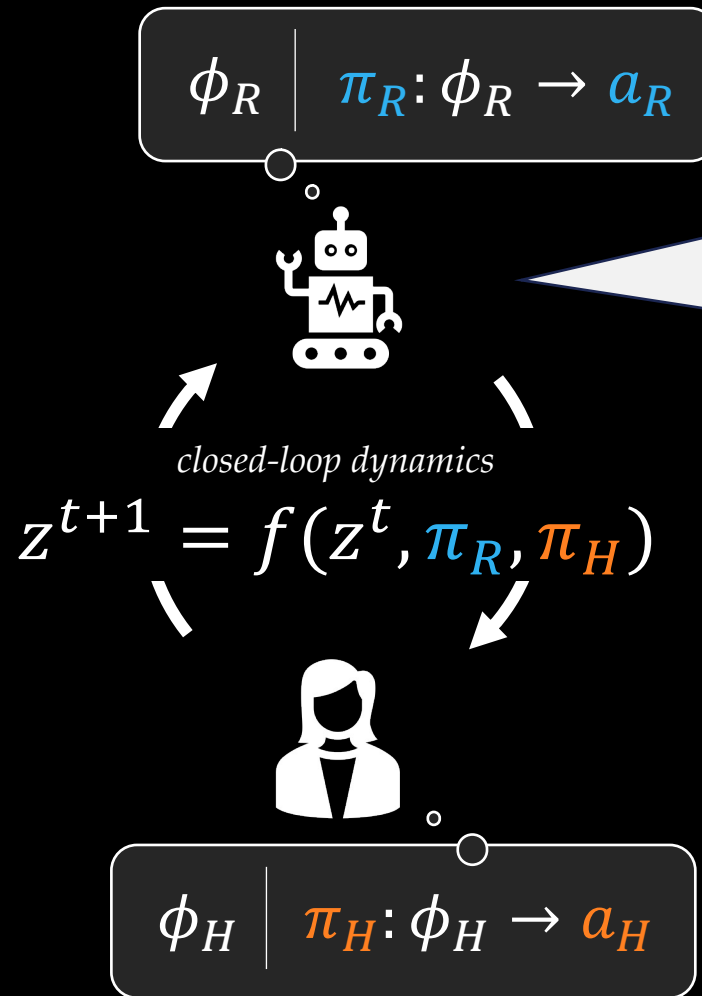


Kitani, et al. Activity Forecasting. ECCV 2012





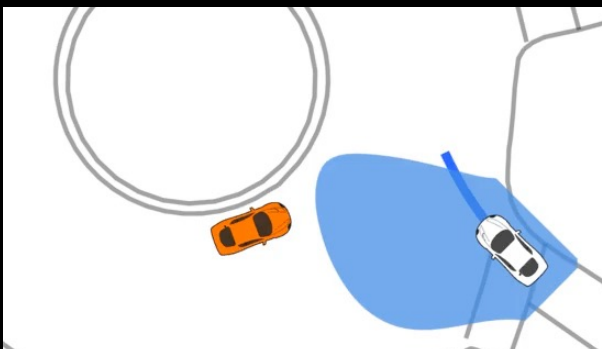
Safety Analysis & Runtime Safety Filtering



fundamental problem:
present actions which do not appear to violate constraints can still steer the system to states of irrecoverable failure in the *future*.

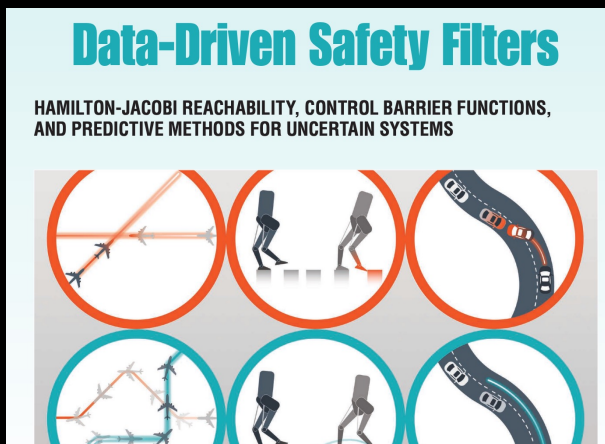
Safety Analysis & Runtime Safety Filtering

Game-theoretic Runtime Safety Filters

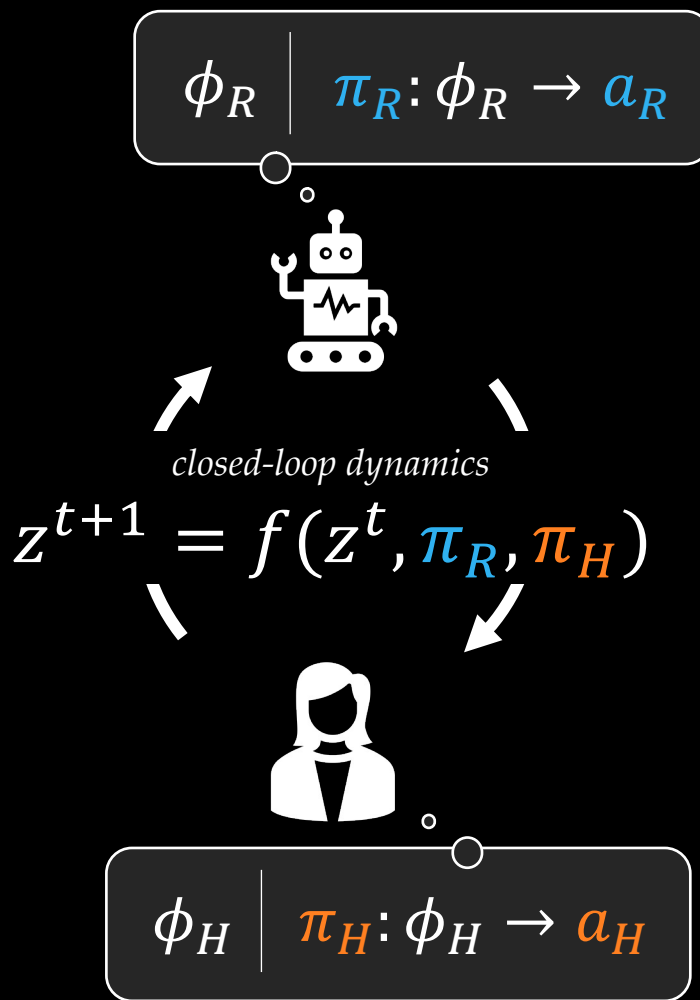


Tian, R. et al. "Safety assurances for human-robot interaction via confidence-aware game-theoretic human models." ICRA 2022.

Computationally scalable & data-driven safety

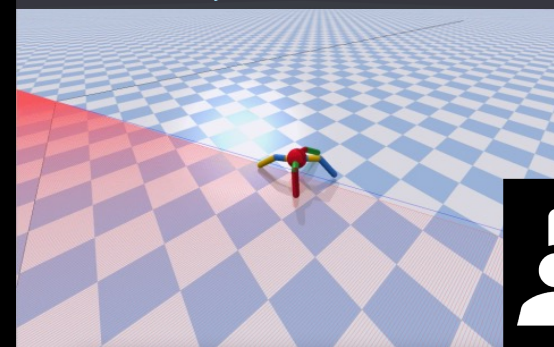


Wabersich, K. P., et al. "Data-driven safety filters" Control Systems Magazine. 2023.



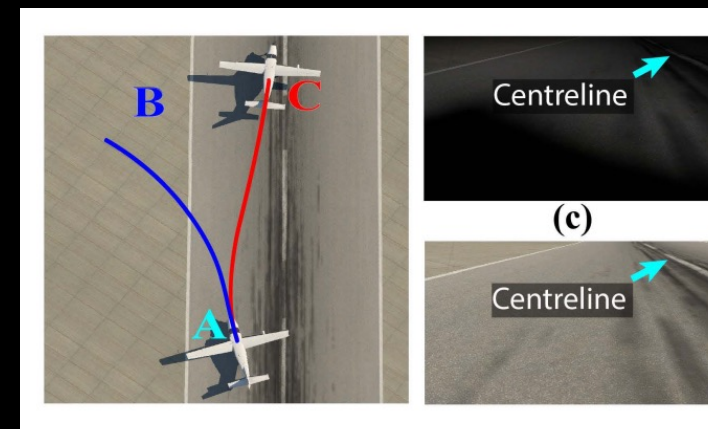
Learning Safety from Demos & Latent-space Safety

Using multi-task data to improve constraint inference.



Kim, K, et al. "Learning shared safety constraints from multi-task demonstrations." NeurIPS 2024.

Closed-loop Failures of Vision-based Controllers



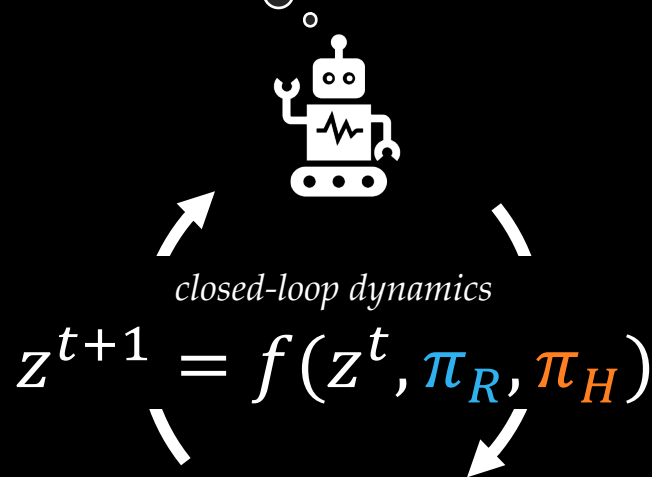
Chakraborty, K. and Bansal, S.. "Discovering Closed-Loop Failures of Vision-Based Controllers via Reachability Analysis." RA-L 2023

Detecting Representation
Misalignment



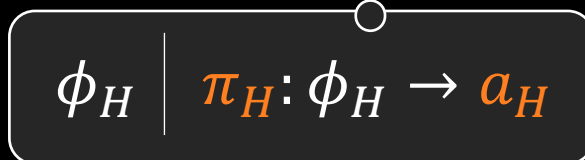
Game-Theoretic & Data-Driven
Interaction Models

Safety Analysis &
Runtime Safety Filtering



Learning Safety from Demos
& Latent-space Safety

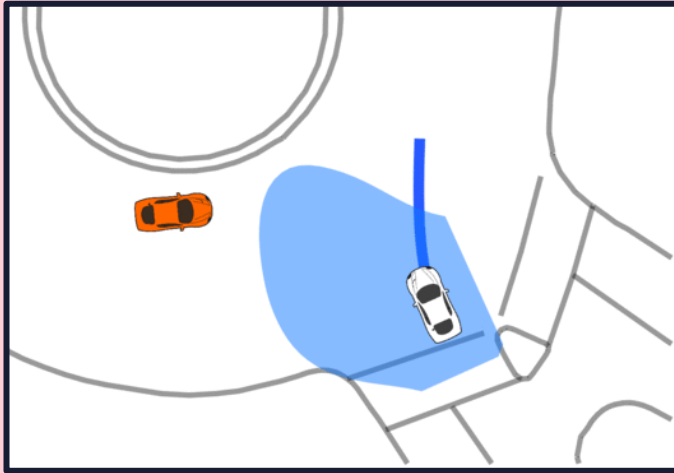
Aligning Robot
Representations



....and more!

Control Systems

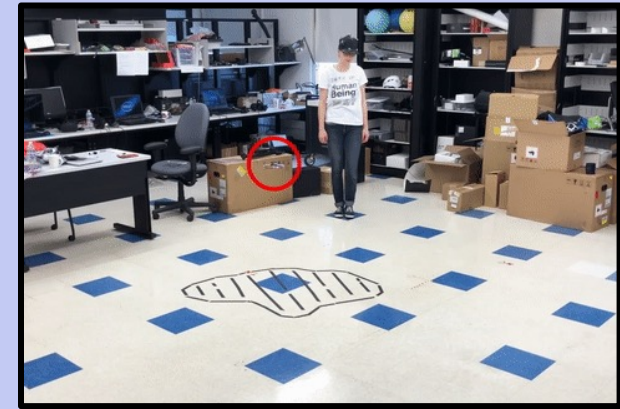
(Safety)



- ✓ Safety monitoring coupled with action (mitigation)
- ✓ Multi-agent feedback loops
- ✗ Limited to low-D representations & behavior models...

ML / AI

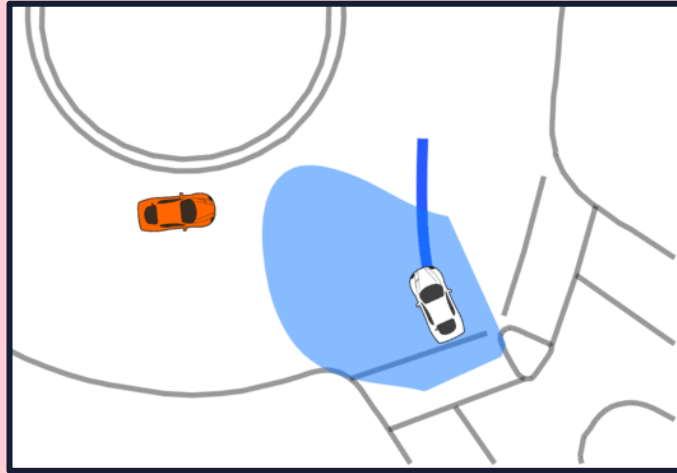
(OOD)



- ✗ Safety at a component-level & decoupled from mitigation
- ✓ Expressive behavior generation, multimodal human feedback
- ✓ Rich context & representations

Control Systems

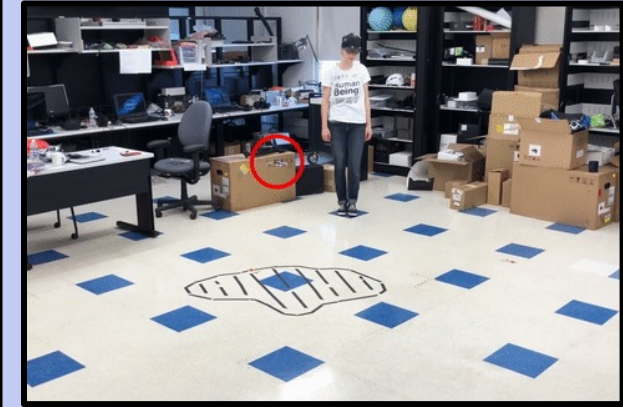
(Safety)



- Safety monitoring coupled with action (mitigation)
- Multi-agent feedback loops
- Limited to low-D representations & behavior models...

ML / AI

(OOD)



*“System-level”
approach to
human-AI safety*

- Safety at a component-level & decoupled from mitigation
- Expressive behavior generation, multimodal human feedback
- Rich context & representations

A System-Level View on Out-of-Distribution Data in Robotics

Rohan Sinha, Apoorva Sharma, Somrita Banerjee, Thomas Lew, Rachel Luo,
Spencer M. Richards, Yixiao Sun, Edward Schmerling, Marco Pavone

Abstract—When testing conditions differ from those represented in training data, so-called out-of-distribution (OOD) inputs can mar the reliability of learned components in the modern robot autonomy stack. Therefore, coping with OOD data is an important challenge on the path towards trustworthy learning-enabled open-world autonomy. In this paper, we aim to demystify the topic of OOD data and its associated challenges in the context of data-driven robotic systems, drawing connections to emerging paradigms in the ML community that study the effect of OOD data on learned models in isolation. We argue that as roboticists, we should reason about the overall system-level competence of a robot as it operates in OOD conditions. We highlight key research questions around this system-level view of OOD problems to guide future research toward safe and reliable learning-enabled autonomy.

I. INTRODUCTION

Machine learning (ML) systems are poised for widespread usage in robot autonomy stacks in the near future, driven by the successes of modern deep learning. For instance, decision-making algorithms in autonomous vehicles rely on ML-based perception and prediction models to estimate and forecast the state of the environment. As we increasingly rely on ML models to contend with the unstructured and unpredictable real world in robotics, it is paramount that we also acknowledge the shortcomings of our models, especially when we hope to deploy robots alongside humans in safety-critical settings.

In particular, ML models may behave unreliably on data that is dissimilar from the training data — inputs commonly termed *out-of-distribution* (OOD). This poses a significant challenge to deploying robots in the open world, e.g., as autonomous vehicles or home assistance robots, as such robots must interact with complex environments in conditions we cannot control or foresee. Coping with OOD inputs remains a key and largely unsolved challenge on the critical path to reliable and safe open-world autonomy. However, there is no generally-agreed-upon precise definition of what makes data OOD; instead, its definition is often left implicit and varies between problem formalisms and application contexts.

In this paper, we concretize the often nebulous notion of the OOD problem in robotics, drawing connections to existing approaches in the ML community. Critically, we advocate for a *system-level* perspective of OOD data in robotics, which considers the impacts of OOD data on downstream decision making and leverages components throughout the full autonomy stack to mitigate negative consequences. To

this end, we present robotics research challenges at three timescales crucial to deploying reliable open-world autonomy: (i) real-time decision-making, (ii) episodic interaction with an environment, and (iii) the data lifecycle as learning-enabled robots are deployed, evaluated, and retrained.

We note that this paper represents neither an algorithmic contribution nor a comprehensive survey of existing paradigms and literature on OOD topics in machine learning or robotics; in fact, many of the OOD topics that we discuss, like runtime-monitoring of perception systems [1] or heuristic uncertainty quantification of deep neural networks [2], constitute well-surveyed subfields in their own right. Rather than survey specific styles of analysis or approaches tailored towards particular submodules of the autonomy stack, our goal in this work is to provide an overview of the core considerations and system-wide challenges that we see as essential areas of robotics research activity for the coming years. Our contribution thus is to establish perspective and context to galvanize more research interest in a topic that we view as critical to improving the reliability of autonomous robots.

II. RUNNING EXAMPLES

To better describe the challenges that OOD data creates in learning-enabled robotic systems, we use the two future autonomy systems shown in Figure 1 as running examples in this paper. These conceptual examples highlight the plurality of applications and design paradigms used to leverage ML in the design of robotic systems.

Autonomous Drone Delivery Service: Firstly, we consider an autonomous drone delivering packages in a city. As illustrated in Figure 1, this robot uses several learning-enabled components in its autonomy stack. The delivery drone has to make explainable decisions and meet stringent safety requirements by regulatory agencies to be deployed among humans. Crucially, to maintain these reliability requirements in rare and unforeseen circumstances, the drone needs mechanisms to detect and manage OOD inputs.

Robotic Manipulators Assisting in the Home: Secondly, we consider the deployment of robotic manipulators to assist with various tasks in and around the home, as shown in Figure 1. The manipulators' tasks are so diverse and unstructured that we consider a general manipulation policy trained in an end-to-end fashion in a controlled environment, as commonly considered in the reinforcement learning (RL) community. When we deploy these manipulators in people's homes, the environments and contexts that these robots encounter invariably differ from the lab or simulated environments

RQ 1 (Averting OOD failures through Runtime Monitoring). Can we leverage *full-stack* sensory information at runtime to detect if a decision system relying on a learned model f will perform poorly, before a failure occurs?

RQ 2 (OOD Aware Decision Making). Can we design decision-making systems compatible with runtime monitors robust to high functional uncertainty?

RQ 3 (Temporally Correlated OOD events). Can we develop methods that account for the temporal correlations between inputs when we repeatedly evaluate a learned model f under shifted conditions over the course of an episode?

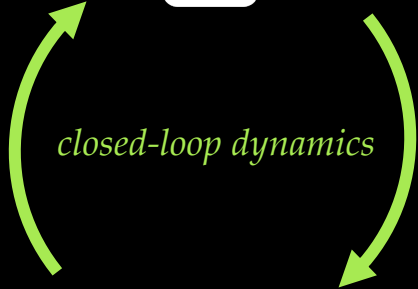
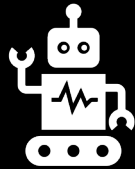
RQ 4 (Mitigating Distributional Shifts). Can we construct decision-making algorithms that mitigate distributional shifts between the training and deployment conditions to ensure the overall reliability of the deployed system?

RQ 5 (Leveraging Operational Data). How can we use data collected during operation in diverse tasks and contexts to improve the robustness and quality of learned models?

What does this frontier look like in interactive robotics?

RQ 6 (Efficient Data Collection). How do we select what operational data to use to efficiently improve our models?

$$\phi_R \mid \pi_R: \phi_R \rightarrow a_R$$



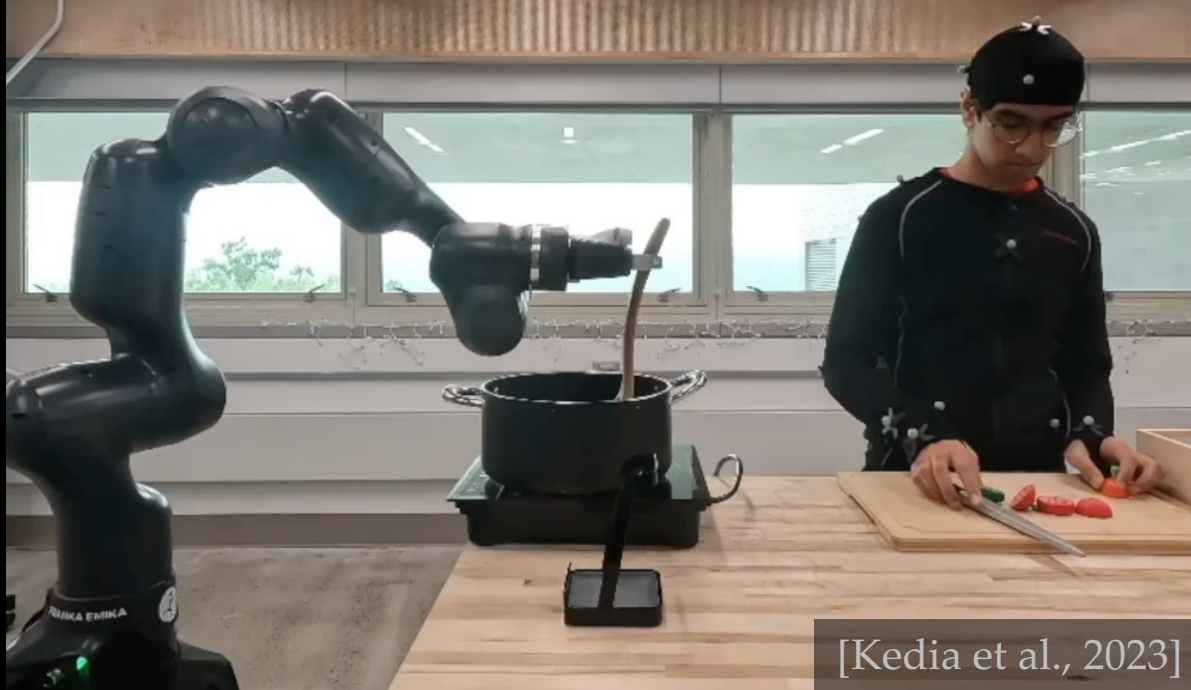
Operational life cycle!



$$\phi_H \mid \pi_H: \phi_H \rightarrow a_H$$



[Waymo, 2023]



[Kedia et al., 2023]



[Ren, AZ et al., 2023]



[DeepMind, 2023]

Robot Planner

$$\mathbf{a}^R = \pi_{\theta}(\mathbf{s}, P_{\phi}, \mathcal{C})$$

cost-based planner: θ is learned cost fn
generative planner: θ is NN weights

Human Behavior Predictor

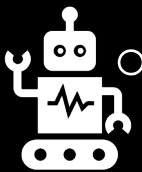
$$P_{\phi}: (\mathbf{s}, \mathcal{C}) \mapsto \tilde{\mathbf{a}}^H$$

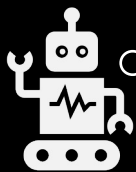
*state history
& context*

*predicted future
human actions*

Real Human Behavior

$$\mathbf{a}^H \sim \pi_H$$

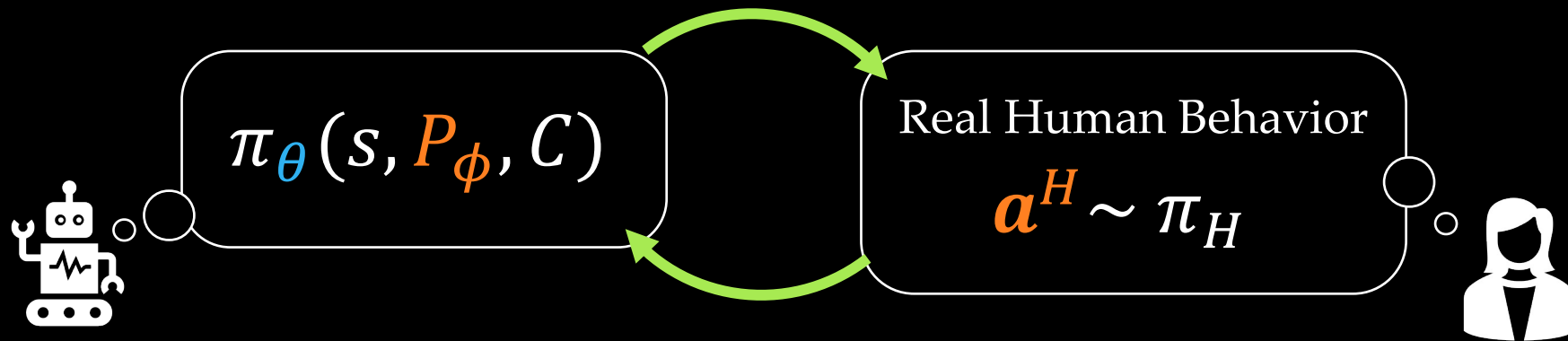




$$P_\phi: (s, C) \mapsto \tilde{a}^H$$



Which prediction failures “*mattered*” during deployment interactions?
Can we *improve* our interaction models over repeated interactions?



All deployment data

Natural growth over time...

...& human interaction distribution shifts

AB

You

Tell me what happened on Tuesday February 27 2024 in Pittsburgh Pennsylvania, USA



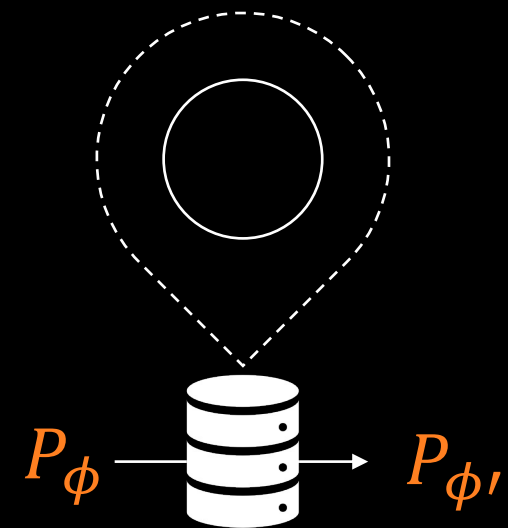
ChatGPT

I can't provide real-time or the most recent updates, including events from specific dates such as February 27, 2024, in Pittsburgh, Pennsylvania, or anywhere else, **due to my last training data being in April 2023.** To find out what happened on that specific date, I recommend checking the latest news from reliable sources or local news websites for Pittsburgh, such as the Pittsburgh Post-Gazette or Tribune-Review. You can also look for any updates on social media platforms or news aggregators for the most current information.

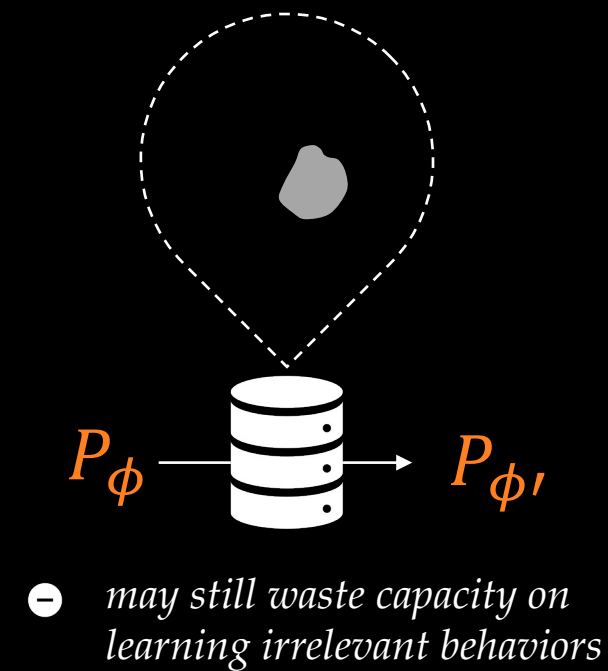
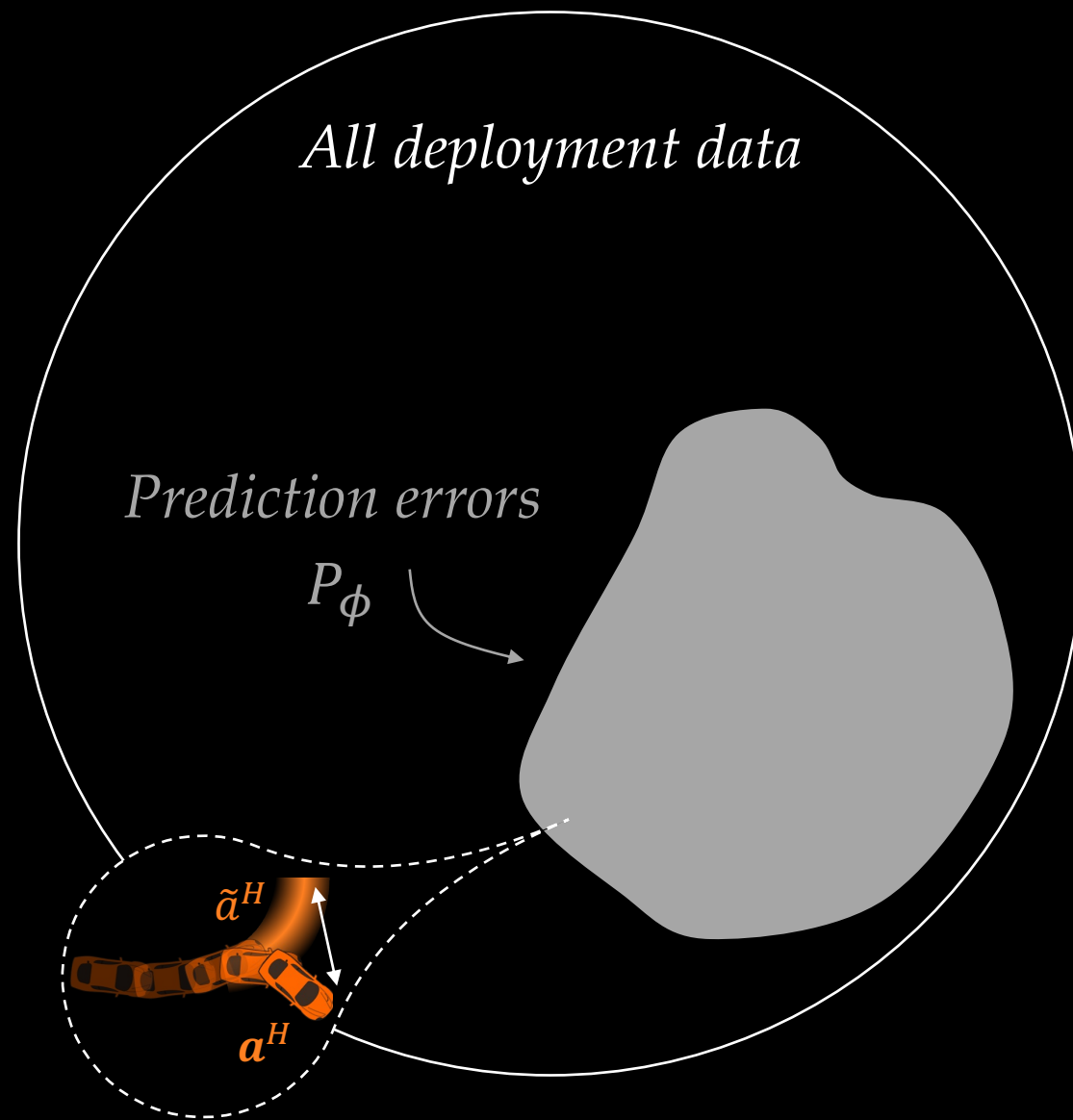


Source: <https://twitter.com/djbaskin>

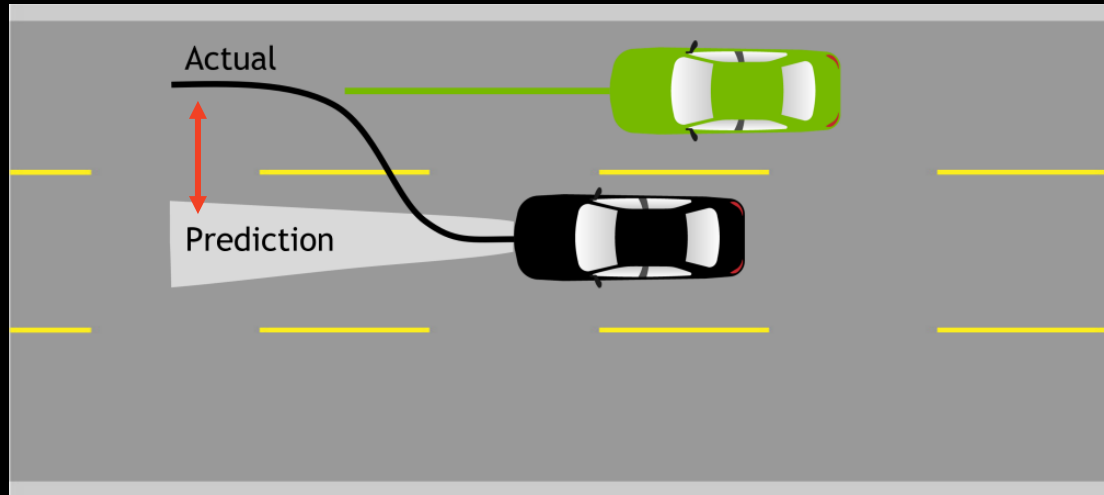
All deployment data



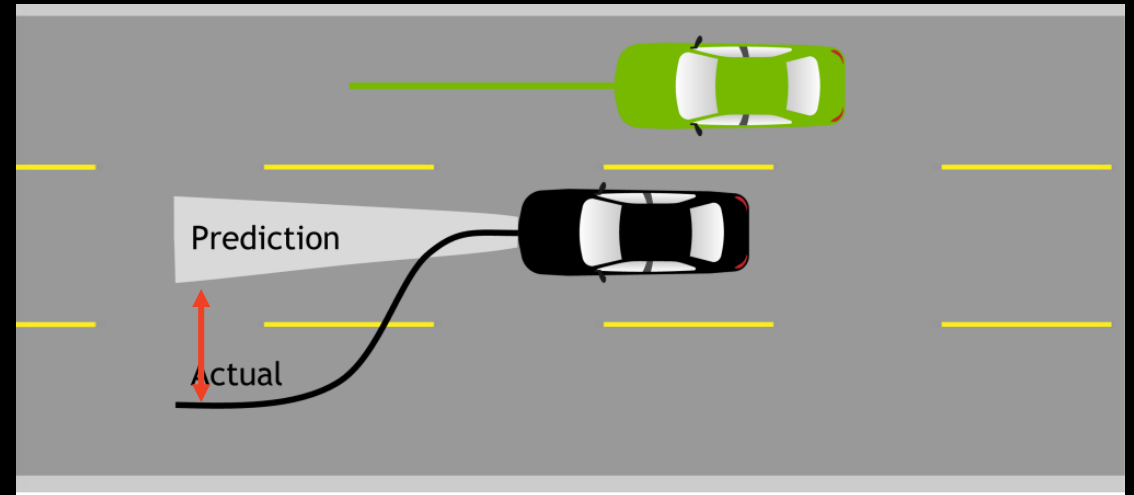
- *limited model capacity (esp. if you want fast inference)*



Mis-prediction DOES yields system-level failure



Mis-prediction does NOT yield system-level failure

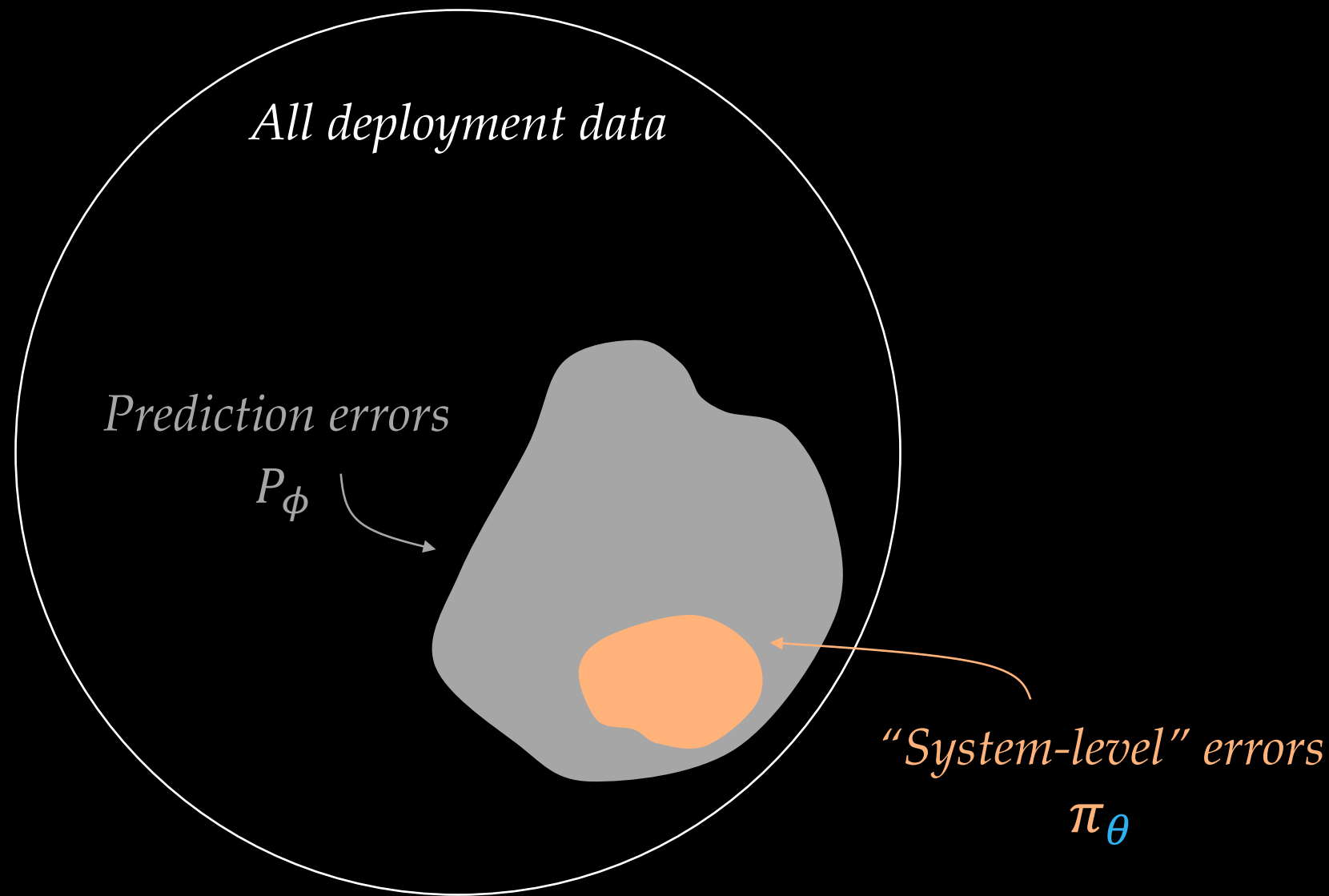


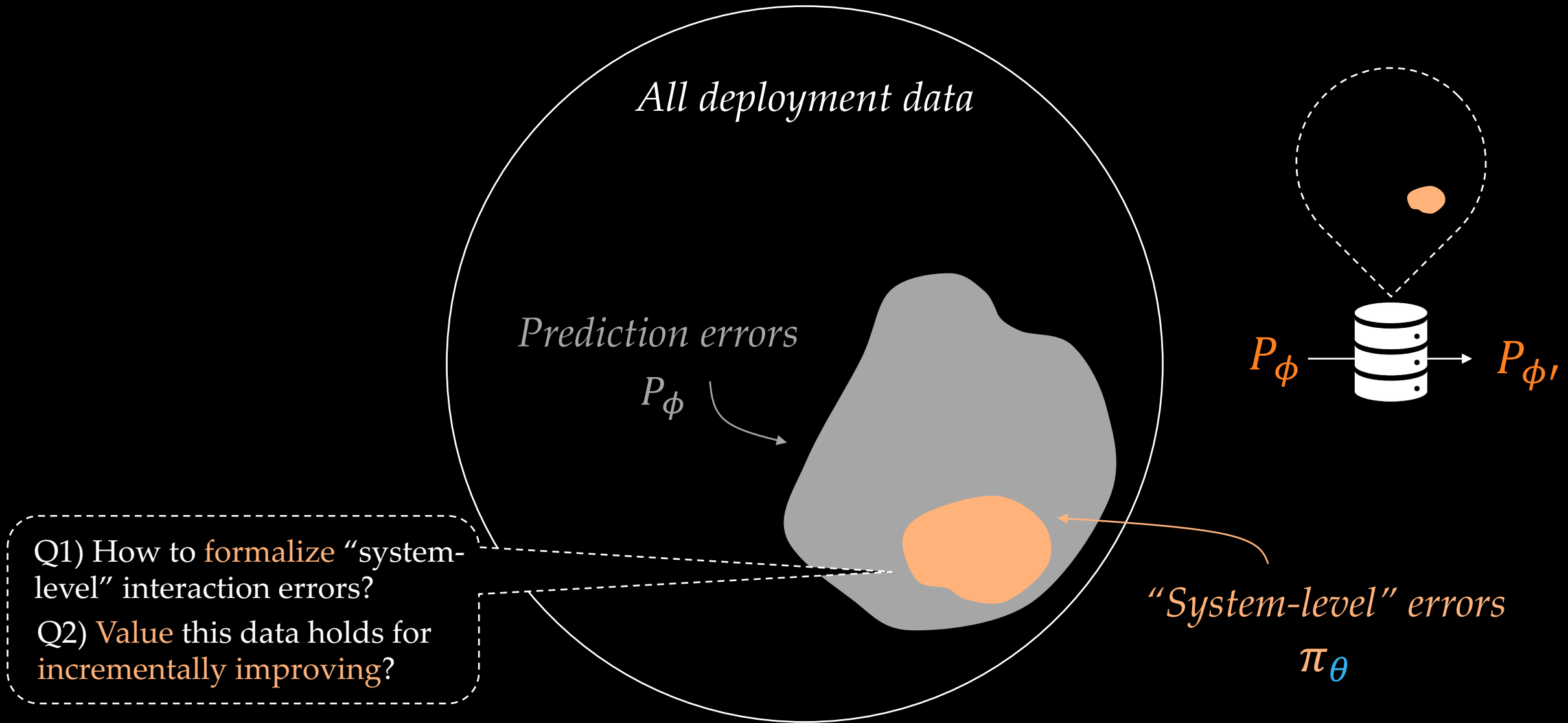
Both mis-predictions have the same L2 prediction error!





10X





Idea 1

Regret precisely characterizes the degree to which prediction errors P_ϕ degraded robot performance π_θ

Regret

$$\max_{\mathbf{a}^R} [R_{\theta}^R(\mathbf{a}^R, \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)] - R_{\theta}^R(\hat{\mathbf{a}}^R, \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)$$

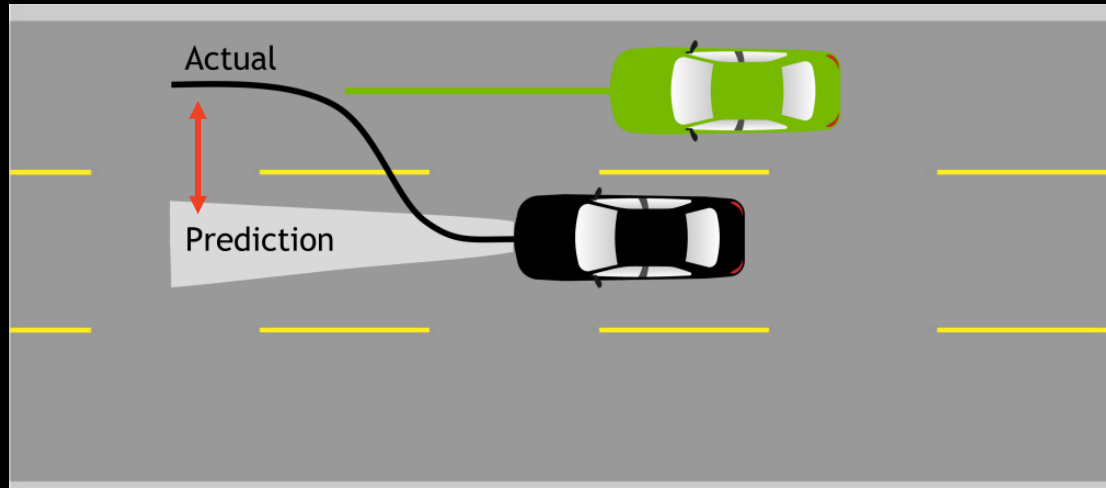
robot's reward w/ parameters θ

best robot decision
in hindsight

executed robot
decision

observed human behavior, joint
state, scene context

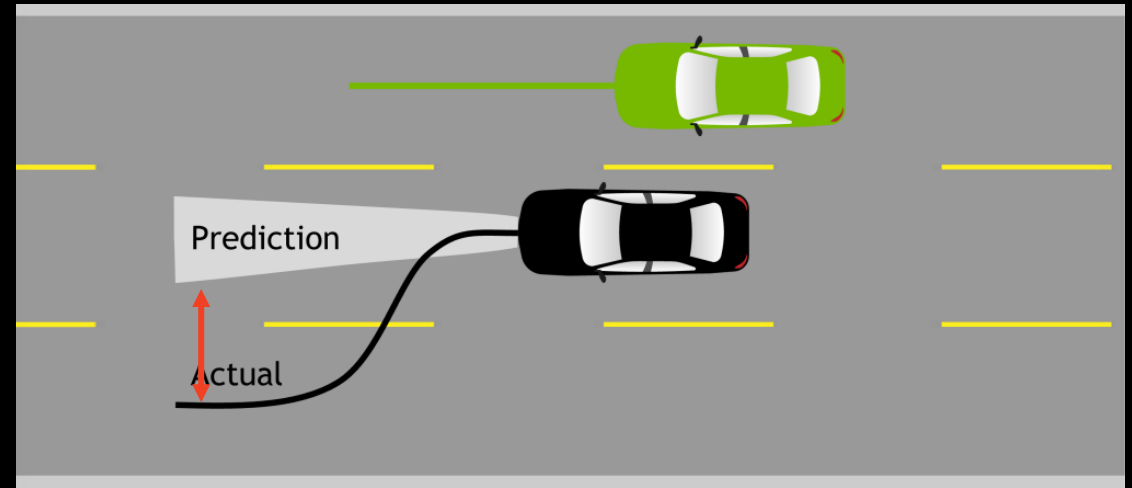
This mis-prediction would cause high regret!



$$\max_{\mathbf{a}^R} [R_{\theta}^R(\mathbf{a}^R, \sim)] - R_{\theta}^R(\text{—}, \sim)$$

In hindsight, should have slowed down! \Rightarrow High regret

This would not – robot would *still* do the same thing.



$$\max_{\mathbf{a}^R} [R_{\theta}^R(\mathbf{a}^R, \sim)] - R_{\theta}^R(\text{—}, \sim)$$

In hindsight, would have still done — \Rightarrow Low regret

Standard regret can be mis-calibrated between disparate deployment contexts



Deployment Context A

Deployment Context B

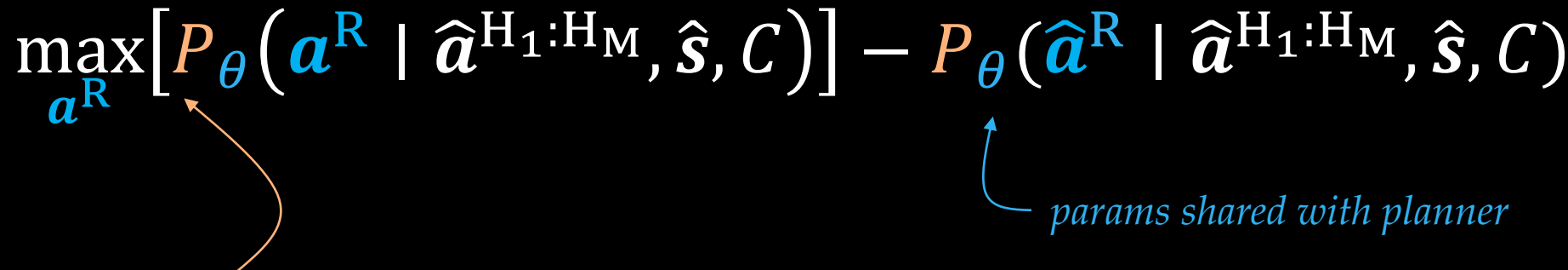
Idea 2

evaluating the quality of a robot decision by its
likelihood rather than its absolute reward
calibrates regret across disparate contexts

Regret

$$\max_{\mathbf{a}^R} [R_{\theta}^R(\mathbf{a}^R, \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)] - R_{\theta}^R(\hat{\mathbf{a}}^R, \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)$$

Calibrated Generalized Regret

$$\max_{\mathbf{a}^R} [P_{\theta}(\mathbf{a}^R \mid \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)] - P_{\theta}(\hat{\mathbf{a}}^R \mid \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)$$


likelihood model for *counterfactual*
probability of robot decisions

params shared with planner

*probability scales anomalies
w.r.t. deployment context*

*no need for explicit
reward model!*

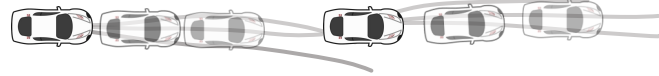
Calibrated Generalized Regret

$$\max_{\mathbf{a}^R} [P_{\theta}(\mathbf{a}^R \mid \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)] - P_{\theta}(\hat{\mathbf{a}}^R \mid \hat{\mathbf{a}}^{H_1:H_M}, \hat{\mathbf{s}}, C)$$

Closed-Loop Simulation on 100 Held-out Scenes from Nuscenes

AgentFormer [Yuan et al., 2021]

$$P_{\phi}(\mathbf{a}^{H_1:H_M} \mid \mathbf{a}^R, \mathbf{s}, \mathcal{C})$$



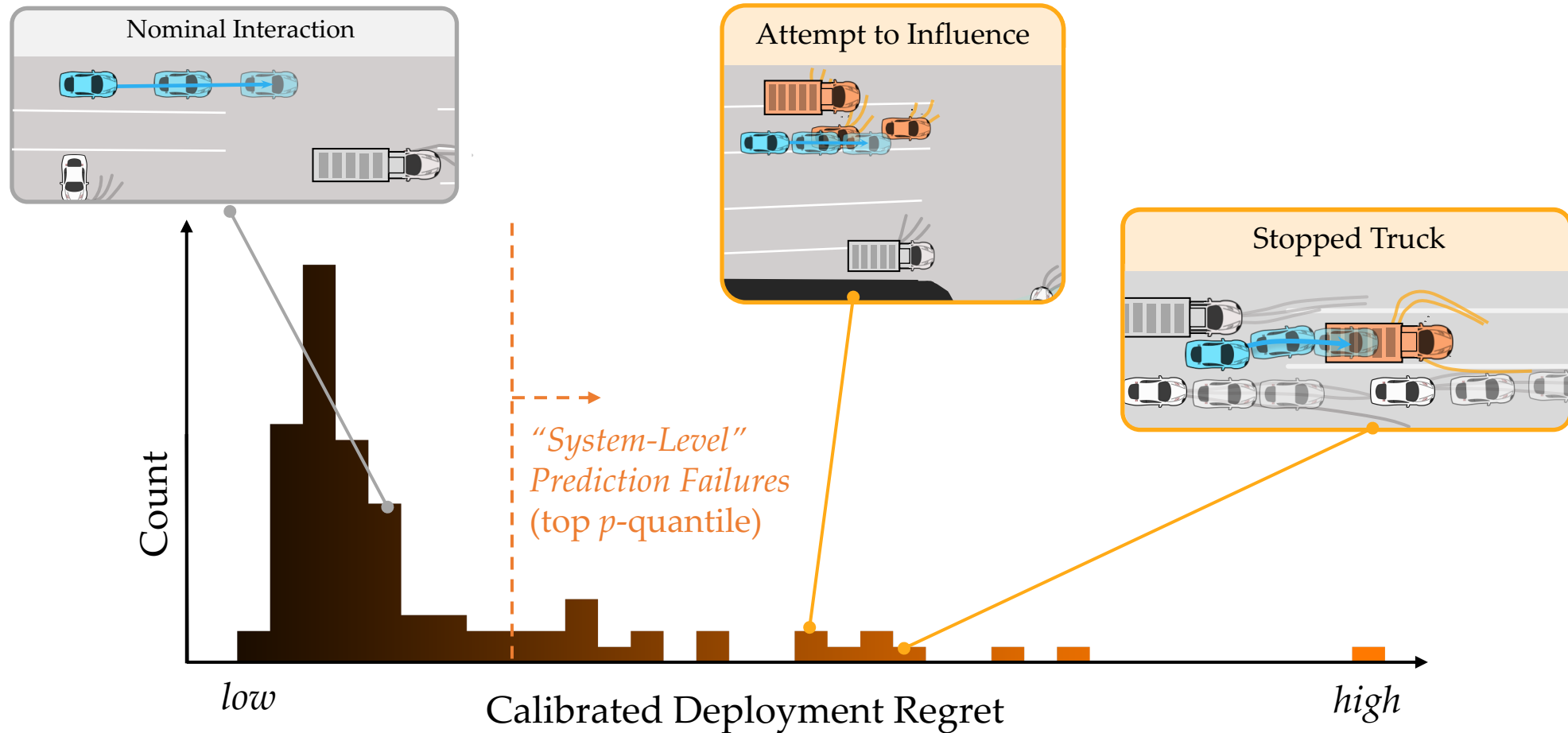
Tree Policy Planning
[Chen et al., 2023]

$$\mathbf{a}^R = \pi_{\theta}(\mathbf{s}, P_{\phi}, \mathcal{C})$$



BITS Simulator [Xu et al. 2023]

Closed-Loop Simulation on 100 Held-out Scenes from Nuscenes



$$\max_{a^R} [P_{\theta}(a^R | \hat{a}^{H_1:H_M}, \hat{s}, C)] - P_{\theta}(\hat{a}^R | \hat{a}^{H_1:H_M}, \hat{s}, C)$$

*probability scales anomalies
w.r.t. deployment context*

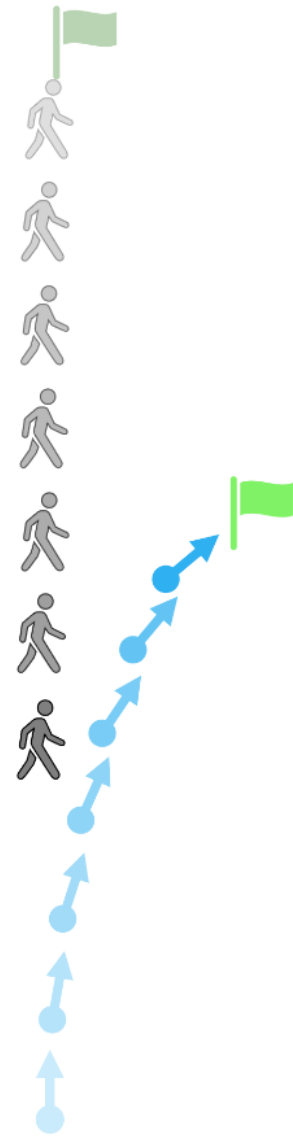
*no need for explicit
reward model!*

Calibrated Generalized Regret

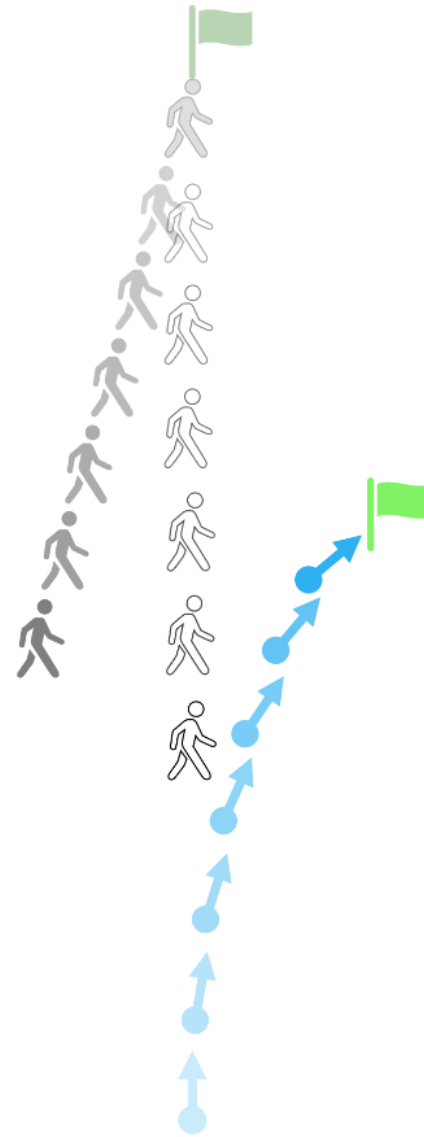
$$\max_{a^R} [P_{\theta}(a^R \mid \hat{a}^{H_1:H_M}, \hat{s}, C)] - P_{\theta}(\hat{a}^R \mid \hat{a}^{H_1:H_M}, \hat{s}, C)$$

- ! Opens up opportunities for anomaly detection for generative planners which *do not* maintain rewards

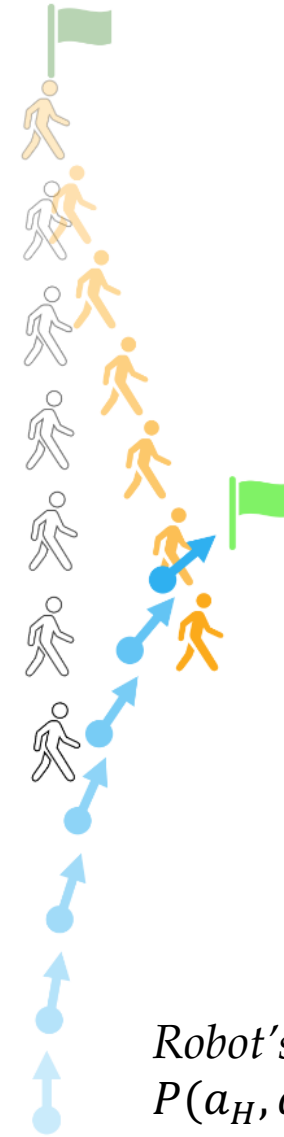
Regret: 0.0657




Regret: 0.0073



Regret: 0.2197



Robot's planner approximates $P(a_H, a_R|s)$ via a generative model (VAE)

 Predicted

 Ground Truth

Illustrative Example



How can I help you today?

Recommend a dish

to impress a date who's a picky eater

Suggest fun activities

to help me make friends in a new city

Plan a trip

for a photography expedition in Iceland

Plan an itinerary

for a literary tour of England, visiting famous authors' ...

Message ChatGPT...



ChatGPT can make mistakes. Consider checking important information.

Original Conversation: $(\hat{\mathbf{a}}^R, \hat{\mathbf{a}}^H, \hat{\mathbf{s}}, C) \in \mathcal{D}$

AB

You

$\hat{\mathbf{a}}_0^H$

I'm going to cook for my date who claims to be a picky eater. Can you recommend me a dish that's easy to cook?

Original Conversation: $(\hat{\mathbf{a}}^R, \hat{\mathbf{a}}^H, \hat{\mathbf{s}}, C) \in \mathcal{D}$

AB

You

$\hat{\mathbf{a}}_0^H$

I'm going to cook for my date who claims to be a picky eater. Can you recommend me a dish that's easy to cook?





ChatGPT


$\hat{\mathbf{a}}_0^R$

Certainly! When cooking for a picky eater, it's usually best to stick with something classic and not overly complex in terms of flavors. A dish that often pleases many palates while being relatively simple to prepare is **Chicken Alfredo Pasta**. It's creamy, comforting, and has a balance of flavors that are widely appreciated. Here's a straightforward recipe you can try:


Original Conversation: $(\hat{\mathbf{a}}^R, \hat{\mathbf{a}}^H, \hat{\mathbf{s}}, C) \in \mathcal{D}$


$\hat{\mathbf{a}}_0^H$  **You**
I'm going to cook for my date who claims to be a picky eater. Can you recommend me a dish that's easy to cook?


$\hat{\mathbf{a}}_0^R$  **ChatGPT**
Certainly! When cooking for a picky eater, it's usually best to stick with something classic and not overly complex in terms of flavors. A dish that often pleases many palates while being relatively simple to prepare is **Chicken Alfredo Pasta**. It's creamy, comforting, and has a balance of flavors that are widely appreciated. Here's a straightforward recipe you can try:


$\hat{\mathbf{a}}_0^H$  **You**
My date has an **allergy to dairy**

Original Conversation: $(\hat{\mathbf{a}}^R, \hat{\mathbf{a}}^H, \hat{\mathbf{s}}, C) \in \mathcal{D}$

 **You**
 $\hat{\mathbf{a}}_0^H$ I'm going to cook for my date who claims to be a picky eater. Can you recommend me a dish that's easy to cook?

 **ChatGPT**
 $\hat{\mathbf{a}}_0^R$ Certainly! When cooking for a picky eater, it's usually best to stick with something classic and not overly complex in terms of flavors. A dish that often pleases many palates while being relatively simple to prepare is **Chicken Alfredo Pasta**. It's creamy, comforting, and has a balance of flavors that are widely appreciated. Here's a straightforward recipe you can try:

 **You**
 $\hat{\mathbf{a}}_0^H$ My date has an **allergy to dairy**

 **ChatGPT**
 $\hat{\mathbf{a}}_0^R$ Certainly! If your date is a picky eater and has a dairy allergy, it's best to go for a dish that's simple, versatile, and flavorful **without relying on dairy products**. One great option is a **Lemon Herb Chicken** with a side of garlic roasted vegetables. This dish is straightforward, delicious, and avoids dairy. Here's how you can make it:

(Approximate) Counterfactual Optimization: $\max_{a^R} [P_\theta(a^R | \hat{a}^H, \hat{s}, C)]$

AB

You

I had the following conversation with you:

Me: I'm going to cook for my date who claims to be a picky eater. Can you recommend me a dish that's easy to cook?

You: Certainly! When cooking for a picky eater, it's usually best to stick with something classic and not overly complex in terms of flavors. A dish that often pleases many palates while being relatively simple to prepare is Chicken Alfredo Pasta. It's creamy, comforting, and has a balance of flavors that are widely appreciated.

Me: My date has an allergy to dairy

You: Certainly! If your date is a picky eater and has a dairy allergy, it's best to go for a dish that's simple, versatile, and flavorful without relying on dairy products. One great option is a Lemon Herb Chicken with a side of garlic roasted vegetables. This dish is straightforward, delicious, and avoids dairy. Here's how you can make it:

Was there a better response you could have given me to my first question?

(Approximate) Counterfactual Optimization: $\max_{a^R} [P_{\theta}(a^R | \hat{a}^H, \hat{s}, C)]$

Was there a better response you could have given me to my first question?



ChatGPT

Your initial question sought a dish recommendation for a picky eater that's also easy to cook, without mentioning the dairy allergy. My response aimed to provide a universally appealing and simple option with Chicken Alfredo Pasta. However, after learning about the dairy allergy, the suggestion was pivoted to Lemon Herb Chicken with garlic roasted vegetables, which is indeed more suitable given the dietary restriction.

A more thorough initial response could have included a direct inquiry about any dietary restrictions or preferences, which would have allowed for a tailored suggestion from the start.

Here's how a better response could have been formulated:

(Approximate) Counterfactual Optimization: $\max_{a^R} [P_{\theta}(a^R | \hat{a}^H, \hat{s}, C)]$

Was there a better response you could have given me to my first question?



ChatGPT

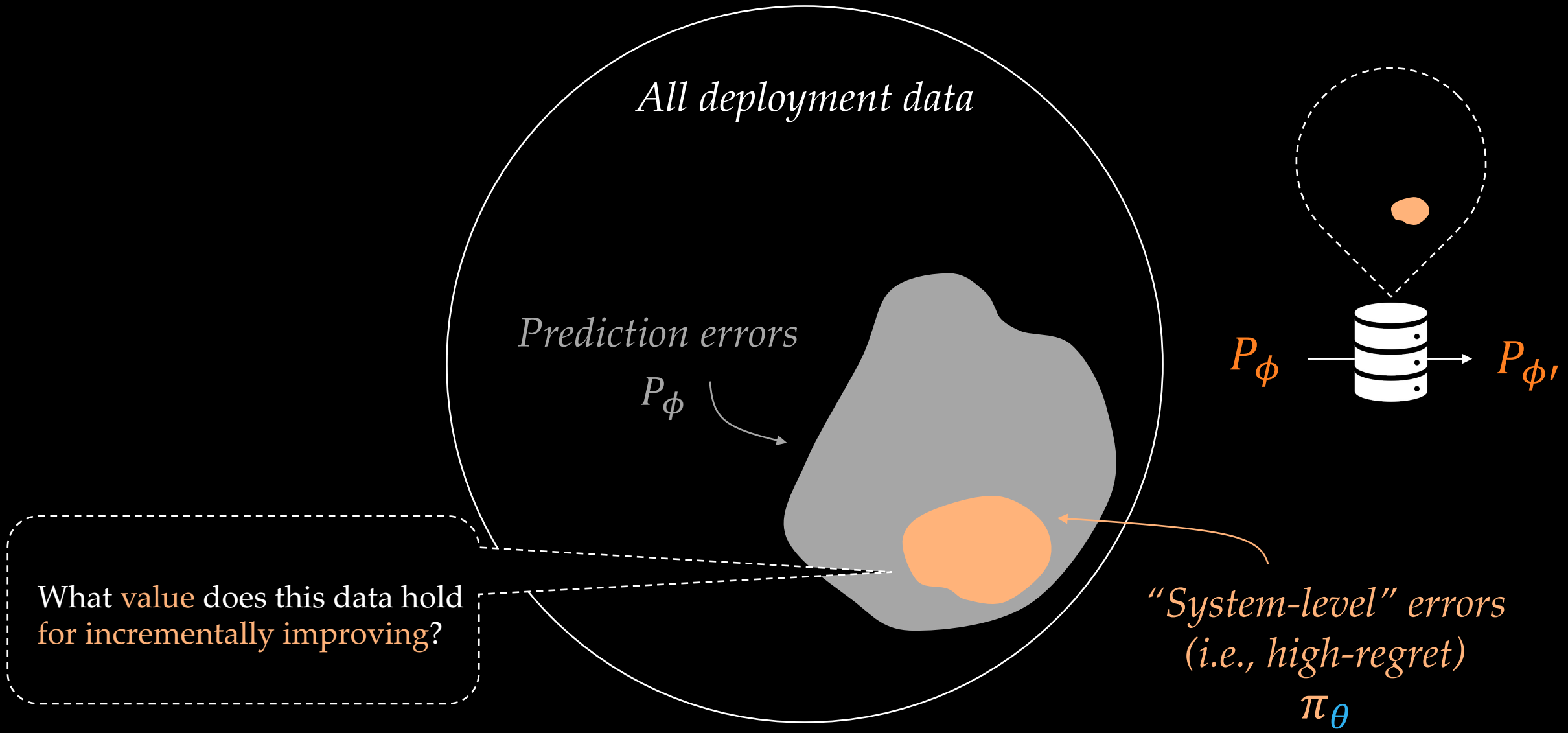
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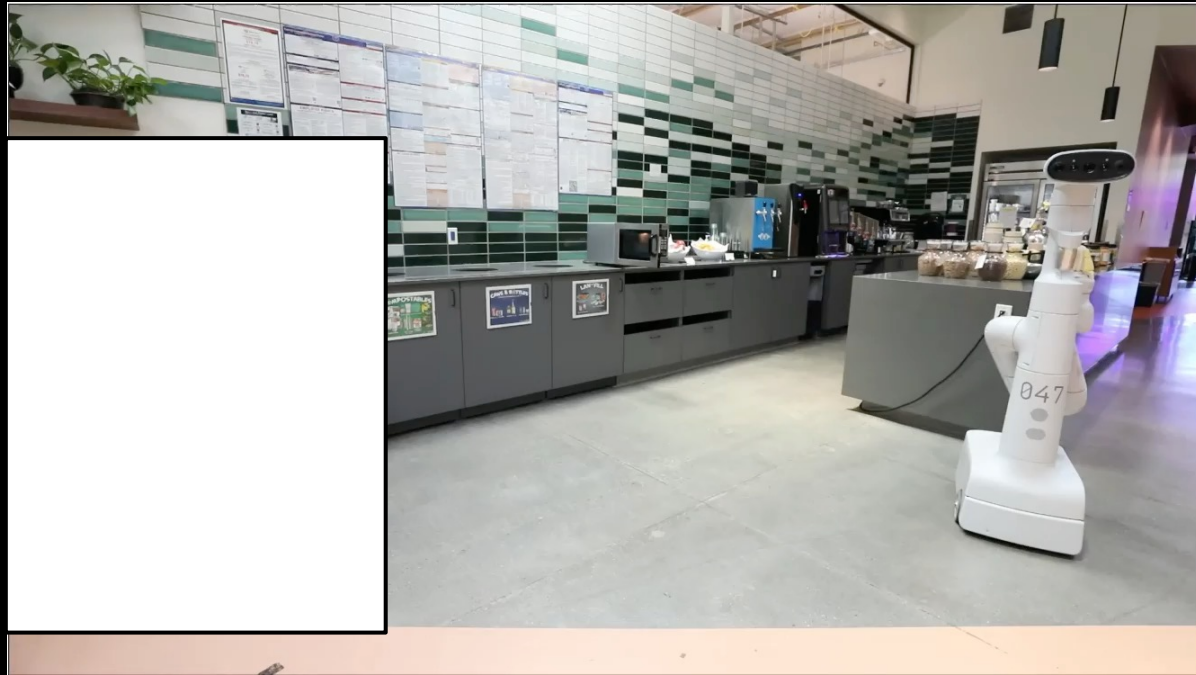
Here's how a better response could have been formulated:

You: I'm going to cook for my date who claims to be a picky eater. Can you recommend me a dish that's easy to cook?

Improved Response: Of course, I'd be happy to help with that! To ensure the recommendation suits your needs, could you tell me if there are any dietary restrictions or particular dislikes I should be aware of? For instance, allergies, vegetarian preferences, or ingredients

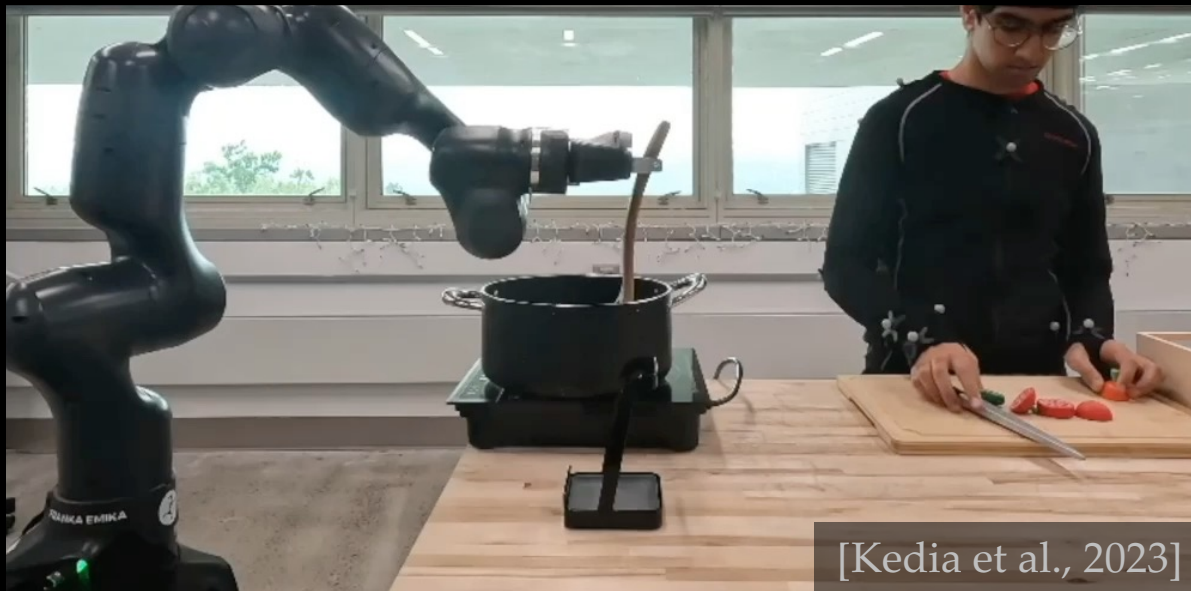


*Future opportunities beyond autonomous driving domain....
anomaly detection, informed data augmentation, model robustification...*





More work to be done so autonomous robots can **interact reliably at scale**



(5 min) Course Eval Survey! 😊

